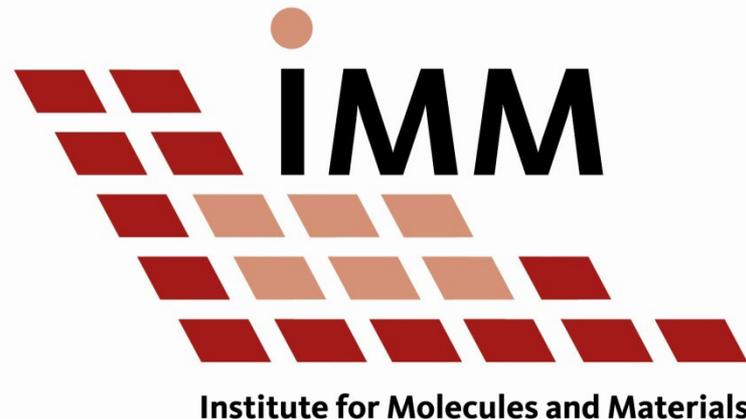


Radboud Universiteit Nijmegen



From first principles to magnetic Hamiltonians and spin dynamics

Mikhail Katsnelson



Outline

1. Introduction
2. Time-dependent DFT and magnetic susceptibility
3. Exchange interactions from first principles
4. Beyond DFT: correlated systems and LDA+DMFT
5. Applications: Fe, Ni, Gd, NiO, CrO₂...
6. Dzyaloshinskii-Moriya interactions
7. Application: Molecular magnets
8. Outlook

Epigraphs

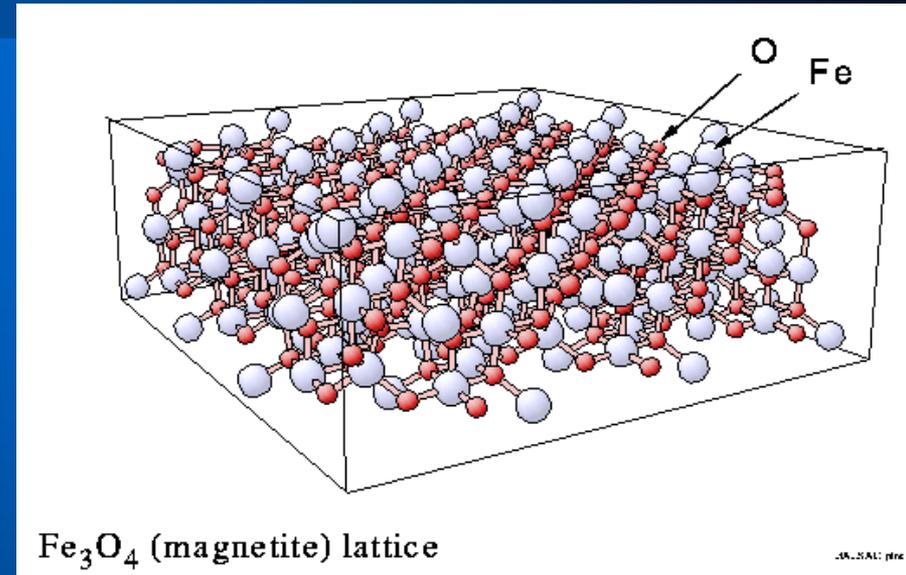
To the theoretical physicists, ferromagnetism presents a number of very interesting, unsolved and beautiful challenges. Our challenge is to understand why it exists at all.

(Feynman Lectures on Physics)

Make things as simple as possible but not simpler

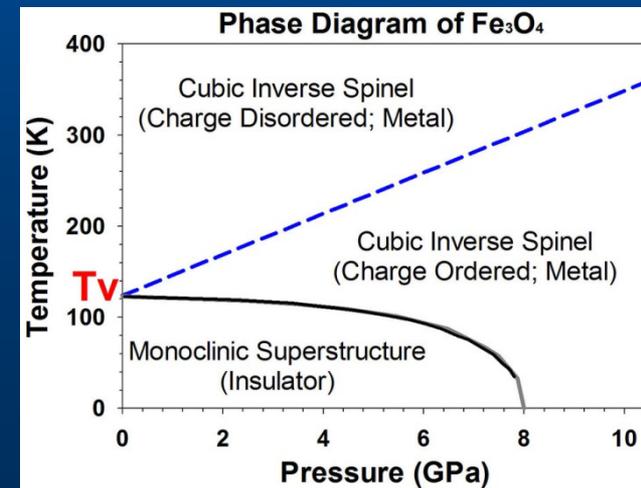
(A. Einstein)

Magnetite – first known magnet



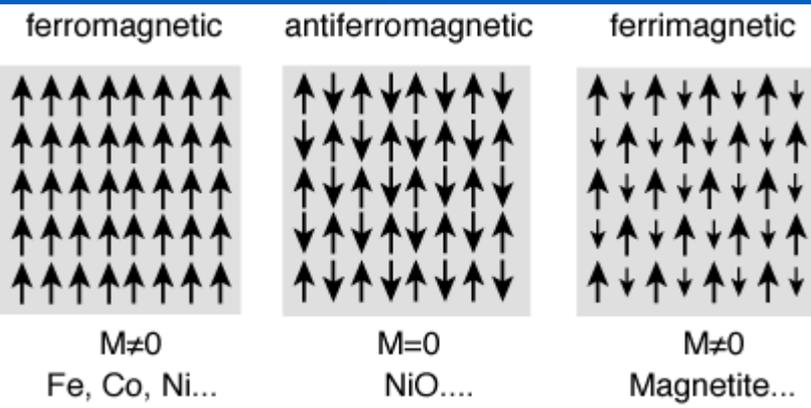
Very complicated structure, still a lot of open questions

*Two types of Fe sites (tetra and octa);
Metal-insulator transition;
Charge ordering;
Role of orbital degrees of freedom;
Half-metallicity...*



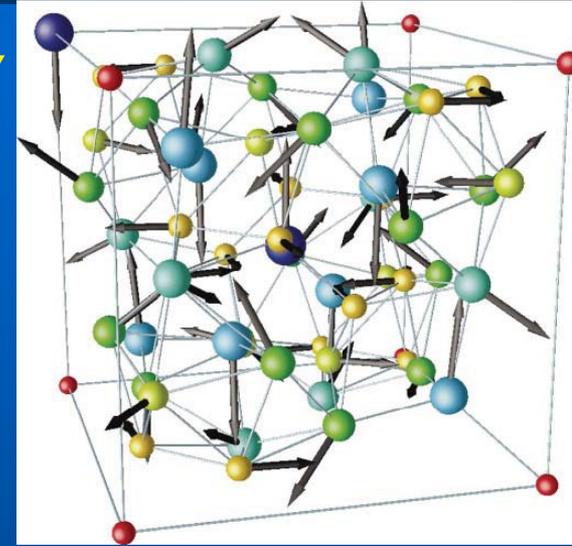
Types of magnetic ordering

Textbook wisdom

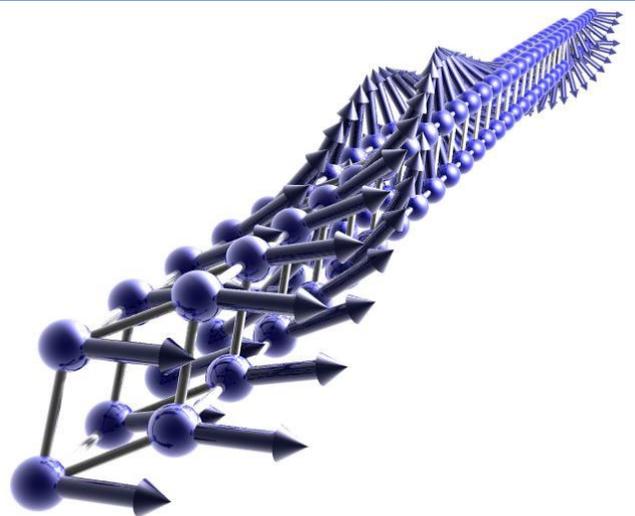


Sometimes very complicated

α -Mn

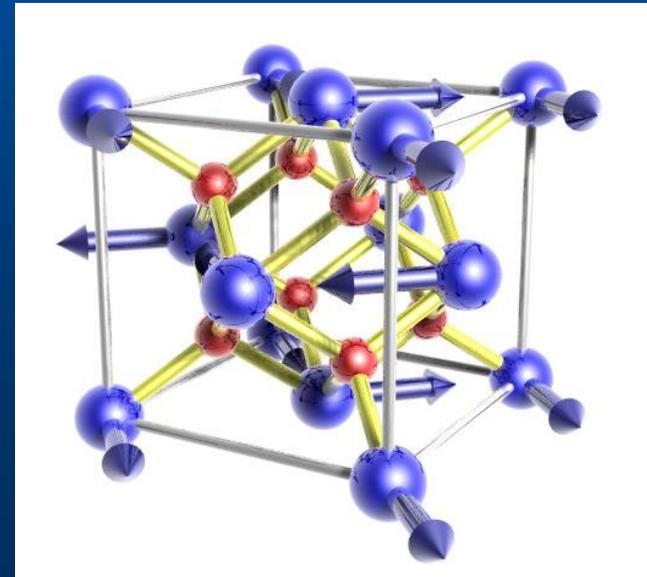


Spin spirals

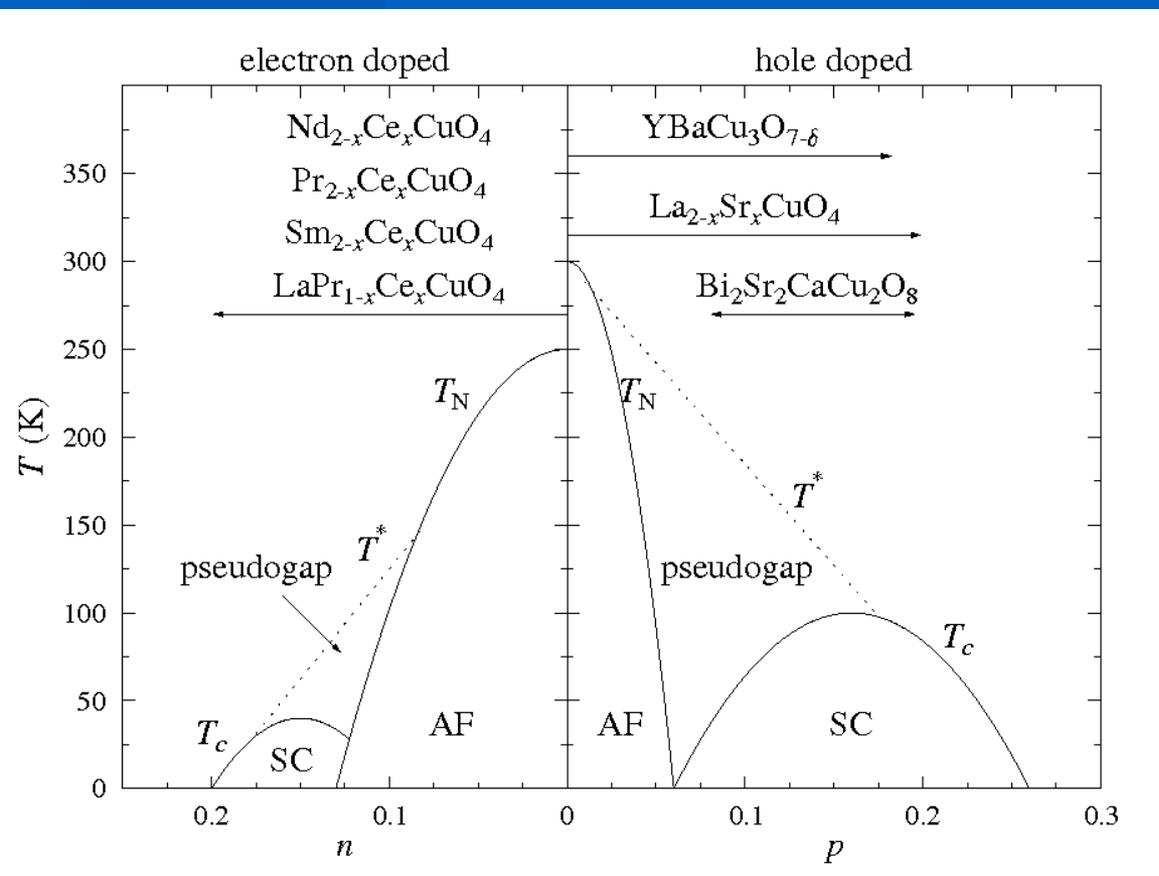


γ -Fe

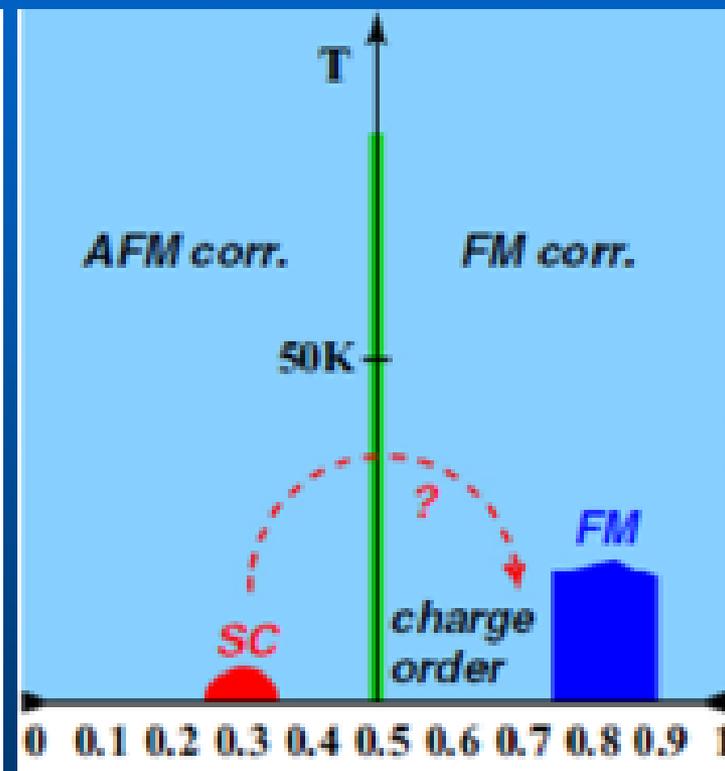
UO_2



Relation to superconductivity and other phenomena



Simplified phase diagram of Cu-O
high- T_c superconductors



Layered cobaltates
 Na_xCoO_2

Types of magnetic interactions

$$\hat{H} = \sum_{ij} J_{ij} \hat{S}_i \hat{S}_j + \sum_{i\mu\nu} \hat{S}_i^\mu A_i^{\mu\nu} \hat{S}_i^\nu + \sum_{ij} \vec{D}_{ij} [\hat{S}_i \times \hat{S}_j]$$

The first term: *exchange interactions* (Heisenberg model)
Quantum, nonrelativistic (Coulomb interaction plus Pauli principle).
Determine the type of magnetic ordering (mostly)

The second term: *magnetic anisotropy*
Quantum, relativistic (due to spin-orbit interaction). At least, second-order in SOC. Determine “practical” magnetism (hard and soft magnetic materials, hysteresis loop, etc.)

The third term: *Dzyaloshinskii-Moriya interactions*
Quantum, relativistic (due to spin-orbit interaction). First-order in SOC but require broken inversion symmetry. Responsible for weak FM, skyrmions etc.

General formulation

System of interacting electrons (many-body problem) + crystal potential

External strong time-dependent laser field
(nonequilibrium problem)

Temperature effects (thermal bath, open system,
basic statistical mechanics)

Collect all difficulties of modern theoretical
physics

Levels of description

- Macroscopic (LLG equations + temperature balance, etc.)
- Microscopic, classical Heisenberg model
- Microscopic, quantum itinerant-electron model
- Ab initio, time-dependent density functional

Multiscale problem

Time-dependent DFT

SE for many-body wave function in configurational space is replaced by single-particle nonlinear self-consistent equation

Spinor

$$\Psi = \begin{pmatrix} \Psi_+ \\ \Psi_- \end{pmatrix}$$

$$i \frac{\partial \Psi}{\partial t} = [H_L - \hat{\boldsymbol{\sigma}} \cdot \mathbf{B}(\mathbf{r}, t)] \Psi$$

\mathbf{B} is self-consistent magnetic field

$$H_L = -\nabla_{\mathbf{r}}^2 + \sum_{\mathbf{R}} V_{\mathbf{rR}} + 2 \int d\mathbf{r}' \frac{n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + V_{\text{xc}}$$

Simplifications

Adiabatic approx.: V_{xc} and B_{xc} are the same as in the equilibrium + local (spin) density approx.

$$i \frac{\partial \psi}{\partial t} = H \psi$$

$$H = -\nabla^2 + V(\mathbf{r}) - \frac{1}{2} (\mathbf{B}_{xc}(\mathbf{r}) + \mathbf{B}_{ext}(\mathbf{r})) \sigma$$

$$V(\mathbf{r}) = V_{ext}(\mathbf{r}) + \int d\mathbf{r}' \frac{n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + \frac{\partial}{\partial n} [n \varepsilon_{xc}]$$

$$\mathbf{B}_{xc} = -2 \frac{\mathbf{m}}{m} \frac{\partial}{\partial m} [n \varepsilon_{xc}]$$

n, m are charge and spin densities

Linear response: magnetic susceptibility

MIK & Lichtenstein, JPCM 16, 7439 (2004)

$$\mathbf{B}_{\text{ext}}(\mathbf{r}) \rightarrow 0$$

$$\delta B_{\text{tot}}^{\alpha} = \delta B_{\text{ext}}^{\alpha} + \frac{\delta B_{\text{xc}}^{\alpha}}{\delta m^{\beta}} \delta m^{\beta}$$

$$\delta m^{\alpha} = \hat{\chi}^{\alpha\beta} \delta B_{\text{ext}}^{\beta}$$

$$(\hat{\chi}\varphi)(\mathbf{r}) = \int d\mathbf{r}' \chi(\mathbf{r}, \mathbf{r}')\varphi(\mathbf{r}')$$

At the same time (Runge-Gross theorem, 1984) in TD-DFT

$$\delta m^{\alpha} = \hat{\chi}_0^{\alpha\beta} \delta B_{\text{tot}}^{\beta}$$

A response of effective system of noninteracting Kohn-Sham particles (Liu & Vosko 1989 for magnetic case)

Linear response: magnetic susceptibility II

Rigorous expression

$$\hat{\chi}^{\alpha\beta} = \hat{\chi}_0^{\alpha\beta} + \hat{\chi}_0^{\alpha\gamma} \frac{\delta B_{\text{xc}}^\gamma}{\delta m^\delta} \hat{\chi}^{\delta\beta}$$

Adiabatic approximation plus LSDA:

$$\frac{\delta B_{\text{xc}}^\gamma}{\delta m^\delta} = \frac{B_{\text{xc}}}{m} \left(\delta_{\gamma\delta} - \frac{m^\gamma m^\delta}{m^2} \right) + \frac{m^\gamma m^\delta}{m^2} \frac{\partial B_{\text{xc}}}{\partial m}$$

Transverse susceptibility is separated from (longitudinal spin + charge) susceptibilities

Transverse susceptibility

$$\chi^{+-}(\mathbf{r}, \mathbf{r}', \omega) = \chi_0^{+-}(\mathbf{r}, \mathbf{r}', \omega) + \int d\mathbf{r}'' \chi_0^{+-}(\mathbf{r}, \mathbf{r}'', \omega) I_{\text{xc}}(\mathbf{r}'') \chi^{+-}(\mathbf{r}'', \mathbf{r}', \omega)$$

$$I_{\text{xc}} = \frac{B_{\text{xc}}}{m}$$

Local Stoner
parameter

$$m = \sum_{\mu\sigma} \sigma f_{\mu\sigma} |\psi_{\mu\sigma}(\mathbf{r})|^2$$

$$n = \sum_{\mu\sigma} f_{\mu\sigma} |\psi_{\mu\sigma}(\mathbf{r})|^2.$$

$$\chi_0^{+-}(\mathbf{r}, \mathbf{r}', \omega) = \sum_{\mu\nu} \frac{f_{\mu\uparrow} - f_{\nu\downarrow}}{\omega - \varepsilon_{\mu\uparrow} + \varepsilon_{\nu\downarrow}} \psi_{\mu\uparrow}^*(\mathbf{r}) \psi_{\nu\downarrow}(\mathbf{r}) \psi_{\nu\downarrow}^*(\mathbf{r}') \psi_{\mu\uparrow}(\mathbf{r}')$$

Kohn-Sham
states

$$(H_0 - \frac{1}{2} \sigma B_{\text{xc}}) \psi_{\mu\sigma} = \varepsilon_{\mu\sigma} \psi_{\mu\sigma}$$

$$H_0 = -\nabla^2 + V(\mathbf{r})$$

Longitudinal susceptibility

$$\chi^{zz} = \frac{1}{4} (K^{\uparrow\uparrow} + K^{\downarrow\downarrow} - K^{\uparrow\downarrow} - K^{\downarrow\uparrow})$$

$$\begin{aligned} K^{\uparrow\uparrow} &= X_{\uparrow} + X_{\uparrow} U_{\uparrow\uparrow} K^{\uparrow\uparrow} + X_{\uparrow} U_{\uparrow\downarrow} K^{\downarrow\uparrow} \\ K^{\downarrow\downarrow} &= X_{\downarrow} + X_{\downarrow} U_{\downarrow\downarrow} K^{\downarrow\downarrow} + X_{\downarrow} U_{\downarrow\uparrow} K^{\uparrow\downarrow} \\ K^{\uparrow\downarrow} &= X_{\uparrow} U_{\uparrow\downarrow} K^{\downarrow\downarrow} + X_{\uparrow} U_{\uparrow\uparrow} K^{\uparrow\downarrow} \\ K^{\downarrow\uparrow} &= X_{\downarrow} U_{\downarrow\uparrow} K^{\uparrow\uparrow} + X_{\downarrow} U_{\downarrow\downarrow} K^{\downarrow\uparrow}. \end{aligned}$$

$$X_{\sigma}(\mathbf{r}, \mathbf{r}') = \sum_{\mu\nu} \frac{f_{\mu\sigma} - f_{\nu\sigma}}{\omega - \varepsilon_{\mu\sigma} + \varepsilon_{\nu\sigma}} \psi_{\mu\sigma}^*(\mathbf{r}) \psi_{\nu\sigma}(\mathbf{r}) \psi_{\mu\sigma}(\mathbf{r}') \psi_{\nu\sigma}^*(\mathbf{r}')$$

$$U_{\sigma\sigma'} = \frac{\partial^2 (n\varepsilon_{xc})}{\partial n_{\sigma} \partial n_{\sigma'}}$$

$$n_{\sigma} = \frac{1}{2} (n + \sigma m)$$

Separation of magnon poles

After rigorous manipulations

$$\hat{\chi}^{+-} = (m + \hat{\Lambda})(\omega - I_{\text{xc}} \hat{\Lambda})^{-1}$$

$$\Lambda(\mathbf{r}, \mathbf{r}', \omega) = \sum_{\mu\nu} \frac{f_{\mu\uparrow} - f_{\nu\downarrow}}{\omega - \varepsilon_{\mu\uparrow} + \varepsilon_{\nu\downarrow}} \psi_{\mu\uparrow}^*(\mathbf{r}) \psi_{\nu\downarrow}(\mathbf{r}) \nabla[\psi_{\mu\uparrow}(\mathbf{r}') \nabla \psi_{\nu\downarrow}^*(\mathbf{r}') - \psi_{\nu\downarrow}^*(\mathbf{r}') \nabla \psi_{\mu\uparrow}(\mathbf{r}')]]$$

Magnon pole

$$\omega(\mathbf{q}) = \frac{4}{M} [J(0) - J(\mathbf{q})]$$

$$J(\mathbf{r}, \mathbf{r}', \omega) = \frac{1}{4} \sum_{\mu\nu} \frac{f_{\mu\uparrow} - f_{\nu\downarrow}}{\omega - \varepsilon_{\mu\uparrow} + \varepsilon_{\nu\downarrow}} \psi_{\mu\uparrow}^*(\mathbf{r}) B_{\text{xc}}(\mathbf{r}) \psi_{\nu\downarrow}(\mathbf{r}) \psi_{\nu\downarrow}^*(\mathbf{r}') B_{\text{xc}}(\mathbf{r}') \psi_{\mu\uparrow}(\mathbf{r}')$$

Im part corresponds to Stoner damping

Alternative definition of exchanges

Static susceptibility

$$\hat{\chi}^{+-}(0) = m(\hat{\Omega}^{-1} - B_{\text{xc}}^{-1})$$

$$\hat{\tilde{\Omega}} = \hat{\Omega}(1 - B_{\text{xc}}^{-1}\hat{\Omega})^{-1}$$

The first way (poles of susceptibility) corresponds Liechtenstein, MIK & Gubanov, J. Phys. F 1984, the second way (static suscept.) Bruno, PRL 2003. The expressions for stiffness constant coincide and are rigorous within the adiabatic approximation + LSDA

Magnetic force theorem

(Lichtenstein, MIK, Gubanov, J. Phys. F 1984; Sol. St. Comm. 1985)

Total energy in DFT

$$E = E_{sp} - E_{dc}$$

$$E_{sp} = \sum_v^{occ} \epsilon_v$$

$$E_{dc} = E_{Hartree} + \int dr Tr \left[\rho \frac{\delta E_{xc}}{\delta \rho} \right] - E_{xc}$$

Variation

$$\delta E = \delta^* E_{sp} + \delta_1 E_{sp} - \delta E_{dc} = \delta^* E_{sp} = \delta^* \int_{-\infty}^{\epsilon_F} d\epsilon \left[\frac{1}{\pi} Tr \text{Im} \hat{G}(\epsilon) \right]$$

δ^*

at fixed potential

δ_1

due to change of potential

Magnetic force theorem II

- Torque can be written in terms of variation of the density of states
- Decomposition of the torque in pair terms gives exchange integrals
- These exchange parameters are local (near given magnetic configuration)

Journal of Magnetism and Magnetic Materials 67 (1987) 65–74
North-Holland, Amsterdam

LOCAL SPIN DENSITY FUNCTIONAL APPROACH TO THE THEORY OF EXCHANGE INTERACTIONS IN FERROMAGNETIC METALS AND ALLOYS

A.I. LIECHTENSTEIN, M.I. KATSNELSON ⁺, V.P. ANTROPOV ⁺ and V.A. GUBANOV

Table 1
Values of exchange interaction parameters calculated by the cluster Green's function method

Metal	J_0 (meV)	T_c (K)	T_c^{expt} (K)	J_1 (meV)	J_2 (meV)	D (meV Å ²)	D^{expt} (meV Å ²)
Fe	155.7	1200	1040 ^a	20.5	- 3.4	294	314 ^b
Ni	49.1	380	630 ^a	1.9	0.23	386	395 ^c

Non-Heisenberg character of exchange interactions in Fe and Ni

S.A. Turzhevskii, A.I. Lichtenstein, and M.I. Katsnelson, *Fiz. Tverd. Tela* **32**, 1952 (1990) [*Sov. Phys. Solid State* **32**, 1138 (1990)].

Rotation of a central spin:
magnetic moment is not constant,
energy change is not cosine

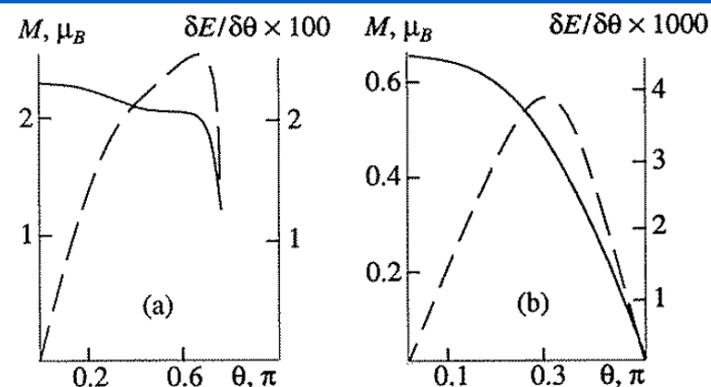


Fig. 4.4. Magnetic moment in Bohr magnetons (the full curve) and the first derivative of energy with respect to angle of rotation in Ry (the dashed curve) according to calculations in [168]: (a) Fe, (b) Ni.

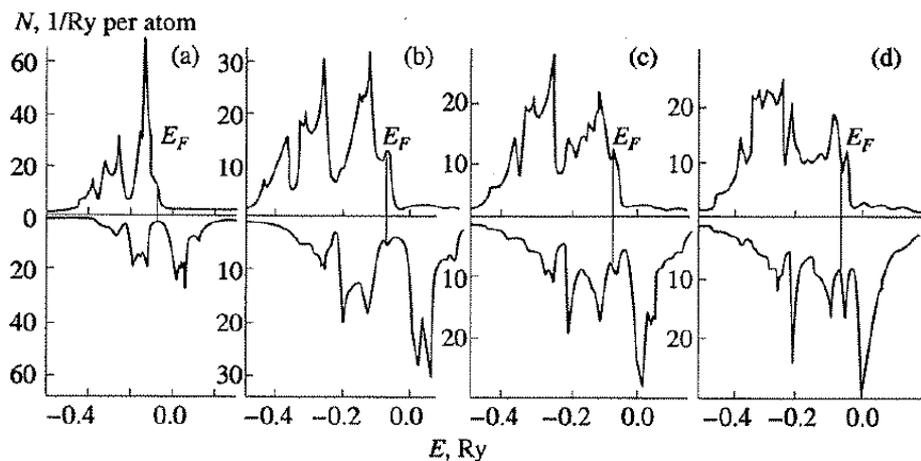


Fig. 4.5. Electronic density of states for an Fe atom in a metal with the magnetic moment turned through $\theta = 0$ (a), $\theta = 0.2\pi$ (b), $\theta = 0.35\pi$ (c), and $\theta = 0.5\pi$ (d).

Electronic structure
is angle-dependent

Nonlocal corrections to magnon stiffness

MIK & Antropov, PRB 67, 140406 (2003)

Exchange and correlation in spiral state of homogeneous electron gas

Angular gradient corrections

$$E_{xc} = \int d\mathbf{r} \{ n \varepsilon_{xc}(n_{\uparrow}, n_{\downarrow}) + \lambda(n_{\uparrow}, n_{\downarrow}) D \}$$

$$D = (\nabla_{\alpha} e_{\beta})(\nabla_{\alpha} e_{\beta}) = (\nabla \theta)^2 + \sin^2 \theta (\nabla \varphi)^2$$

$$\lambda(n_{\uparrow}, n_{\downarrow}) = -\frac{e^2}{16\pi^2} \left(\frac{1}{F} - \frac{4}{3} \right) (V_{xc}^{\uparrow} p_{F\uparrow} + V_{xc}^{\downarrow} p_{F\downarrow}) - \frac{e^2}{96\pi^2 F^2} (V_{xc}^{\uparrow} + V_{xc}^{\downarrow}) (p_{F\uparrow} + p_{F\downarrow}).$$

Corrections to stiffness constant

$$F = (p_{F\uparrow} + p_{F\downarrow}) I(n_{\uparrow}, n_{\downarrow}) / 2\pi^2$$

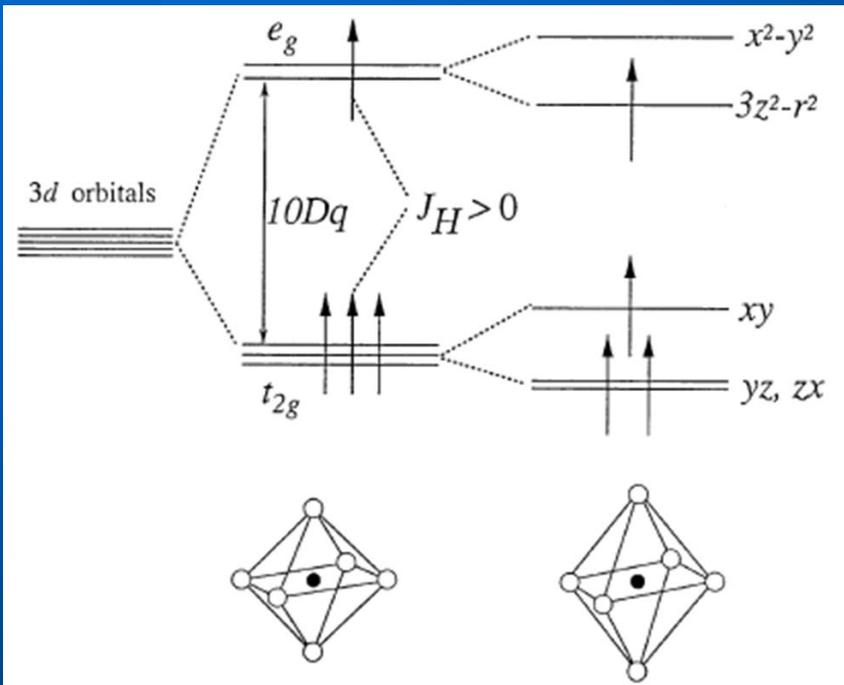
Stiffness constants for Fe and Ni

(in meV/Å²)

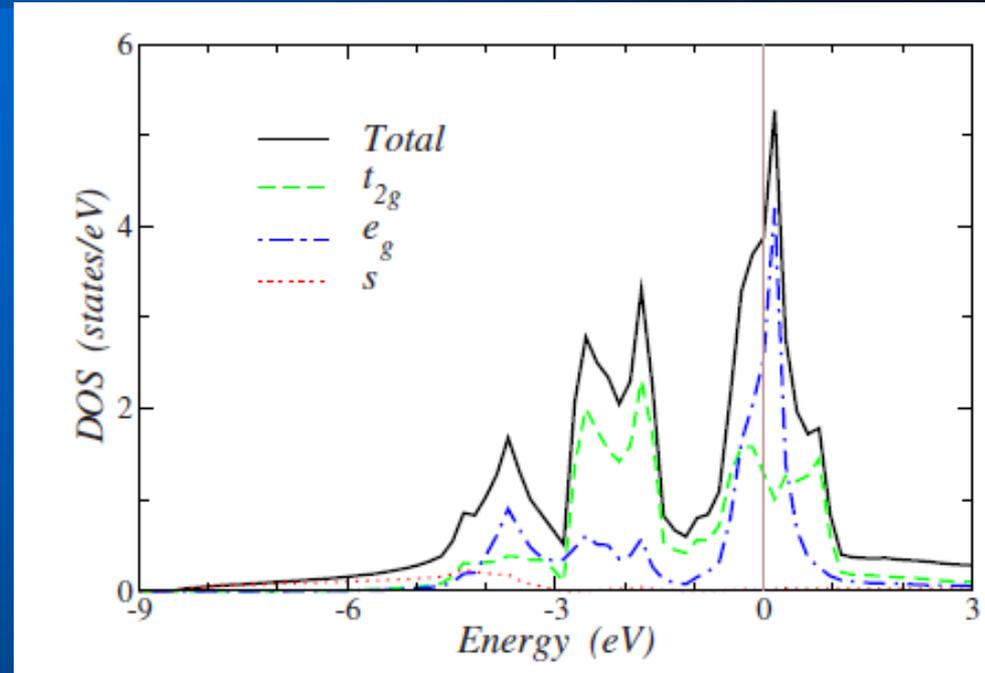
<i>Fe: LSDA</i>	239
<i>with gradient corrections</i>	251
<i>experiment</i>	280 - 310
<i>Ni: LSDA</i>	692
<i>with gradient corrections</i>	735
<i>experiment</i>	550-630

Corrections are quite small

Iron: some details



Crystal field splitting



DOS for nonmagnetic
bcc Fe

Stoner criterion is fulfilled due to e_g states only; they should play a special role in magnetism of Fe (Irkhin, Katsnelson, Trefilov, JPCM 5, 8763 (1993))

Iron: detailed analysis

PRL 116, 217202 (2016)

PHYSICAL REVIEW LETTERS

week ending
27 MAY 2016

Microscopic Origin of Heisenberg and Non-Heisenberg Exchange Interactions in Ferromagnetic bcc Fe

Y. O. Kvashnin,¹ R. Cardias,² A. Szilva,¹ I. Di Marco,¹ M. I. Katsnelson,^{3,4} A. I. Lichtenstein,^{4,5}
L. Nordström,¹ A. B. Klautau,² and O. Eriksson¹

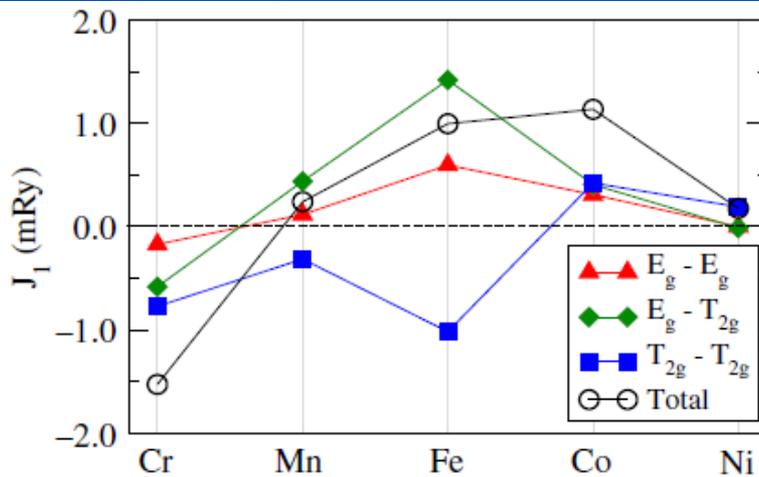
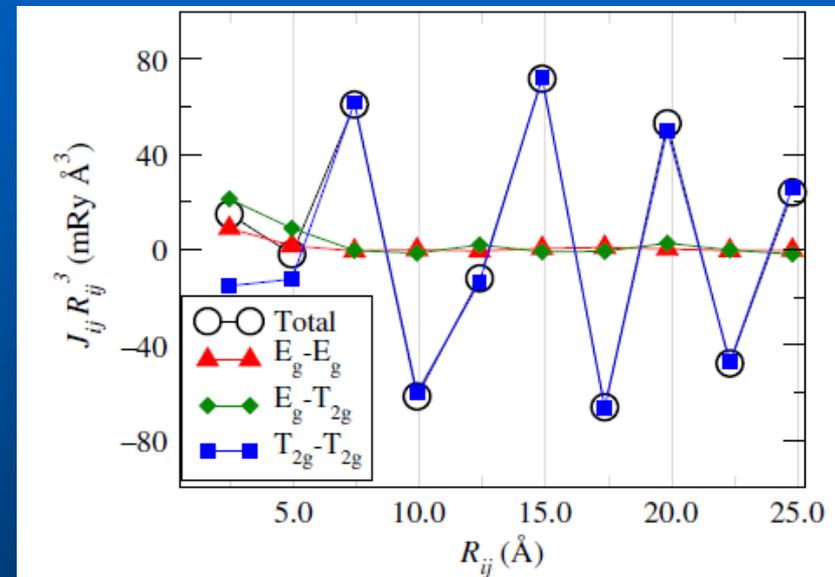


FIG. 1. Orbitaly decomposed NN exchange interaction in elemental 3d metals in the bcc structure.

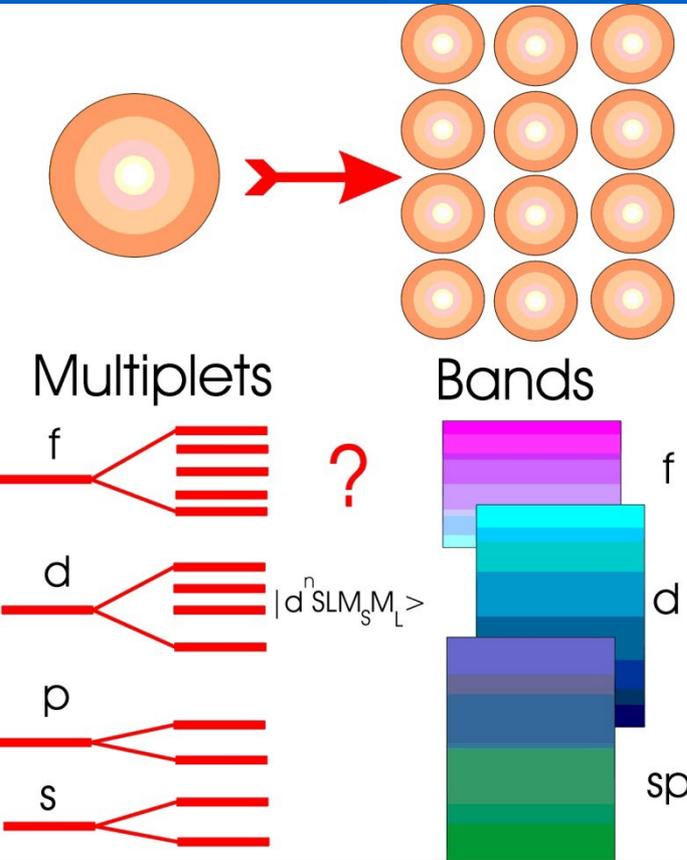


t_{2g} are itinerant electrons providing (Heisenberg-like) RKKY exchange with Friedel oscillations; e_g are more correlated providing (non-Heisenberg) “double exchange” typical for narrow-band systems

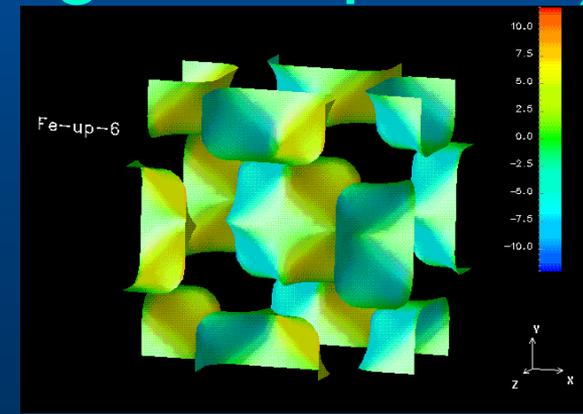
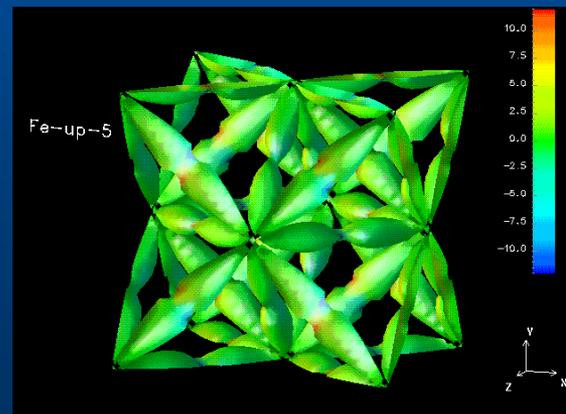
Problem with DFT: coexistence of localized and itinerant behavior

Local magnetic moments do exist above T_C (Curie-Weiss law, spectroscopy, neutrons...)

d electrons are itinerant (FS, chemical bonding, transport...)



Iron, majority spin FS



4f electrons are normally pure localized but not 3d

From atomic state to itinerant

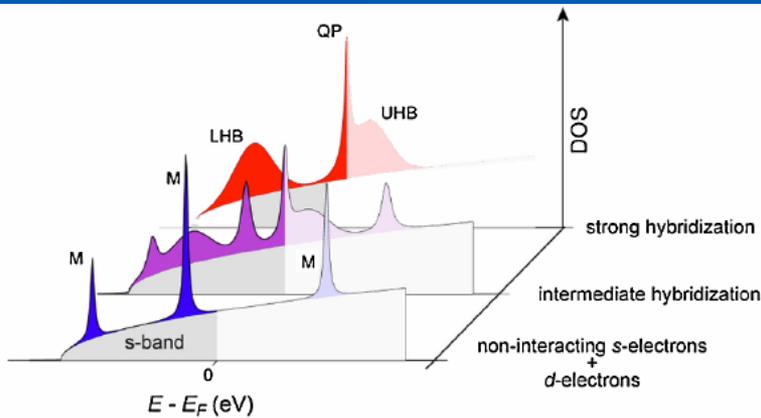
PRL **104**, 117601 (2010)

PHYSICAL REVIEW LETTERS

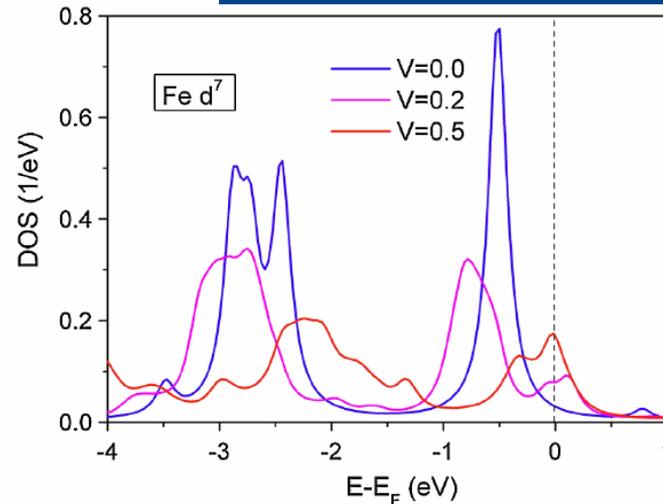
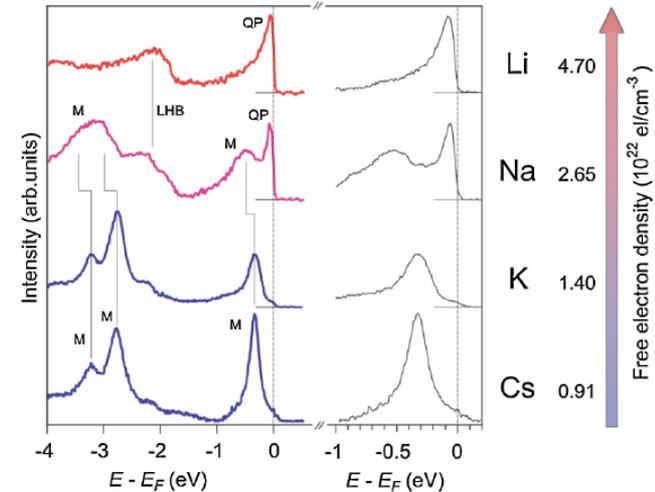
week ending
19 MARCH 2010

Correlated Electrons Step by Step: Itinerant-to-Localized Transition of Fe Impurities in Free-Electron Metal Hosts

C. Carbone,¹ M. Veronese,¹ P. Moras,¹ S. Gardonio,¹ C. Grazioli,¹ P. H. Zhou,² O. Rader,³ A. Varykhalov,³ C. Krull,⁴ T. Balashov,⁴ A. Mugarza,⁴ P. Gambardella,^{4,5} S. Lebègue,⁶ O. Eriksson,⁷ M. I. Katsnelson,⁸ and A. I. Lichtenstein⁹



*Experiment:
disappearance
of multiplets*



*Calculations:
increase of
hybridization*

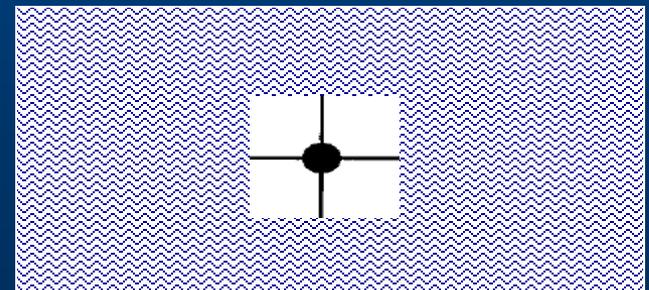
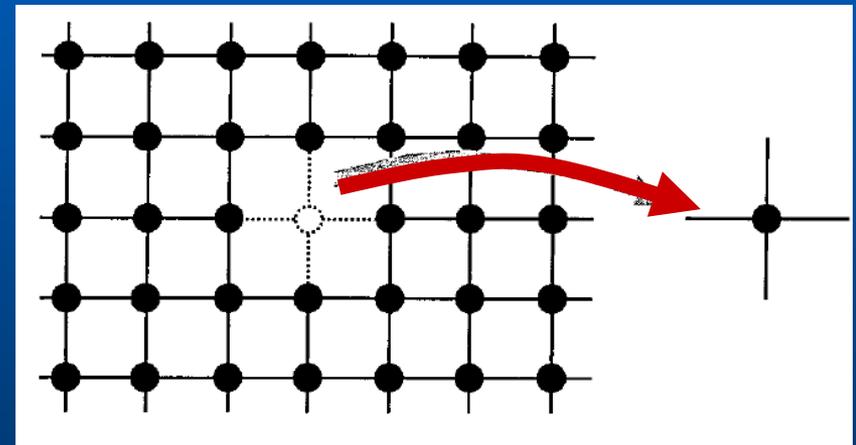
*Blue line: exact
diagonalization
for free atom*

Dynamical Mean Field Theory

A.Georges, G.Kotliar, W.Krauth and M.Rozenberg, Rev. Mod. Phys. '96

A natural generalization of the familiar MFT to the problem of electrons in a lattice

Key idea: take one site out of a lattice and embed it in a self-consistent bath = mapping to an effective impurity problem



LDA (DFT)+DMFT

(Lichtenstein & MIK 1997, 1998, 1999; Anisimov et al 1997)

LSDA

LDA++

Density functional

Baym-Kadanoff functional

Density $\rho(\mathbf{r})$

Green-Function $G(\mathbf{r}, \mathbf{r}', E)$

Potential $V_{xc}(\mathbf{r})$

Self-energy $\Sigma_i(E)$

$$E_{tot} = E_{sp} - E_{dc}$$

$$\Omega = \Omega_{sp} - \Omega_{dc}$$

$$E_{sp} = \sum_{\lambda < \lambda_F} \varepsilon_{\lambda}$$

$$\Omega_{sp} = -Tr \ln[-G^{-1}]$$

$$E_{dc} = E_H + \int \rho V_{xc} d\mathbf{r} - E_{xc}$$

$$\Omega_{dc} = Tr \Sigma G - \Phi_{LW}$$

Temperature:

Matsubara frequencies: real-T

in the Fermi function

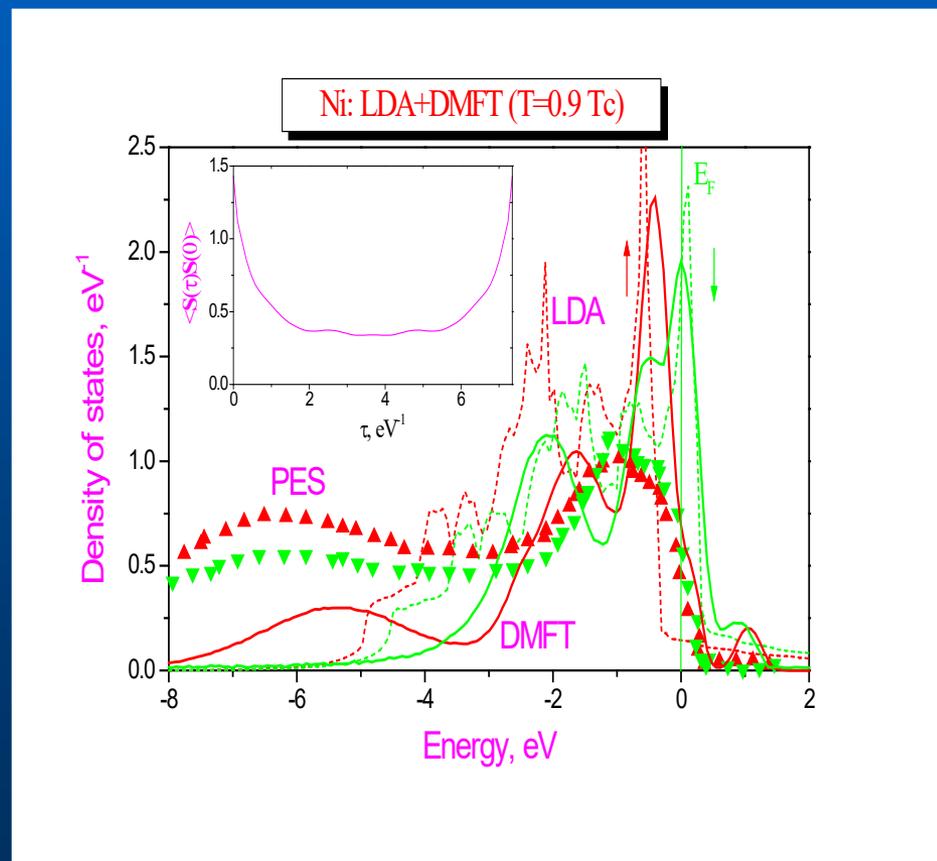
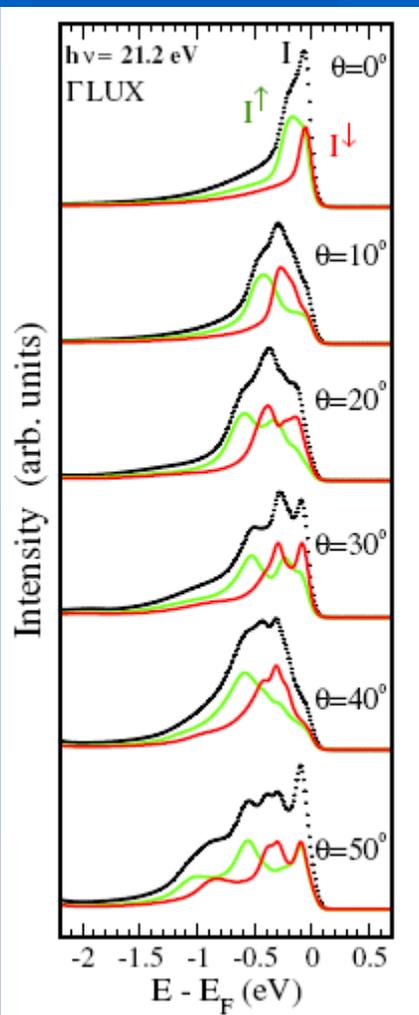
for collective excitations

Ferromagnetism of transition metals: LDA+DMFT

Ferromagnetic Ni DMFT vs. LSDA:

- 30% band narrowing
- 50% spin-splitting reduction
- -6 eV satellite

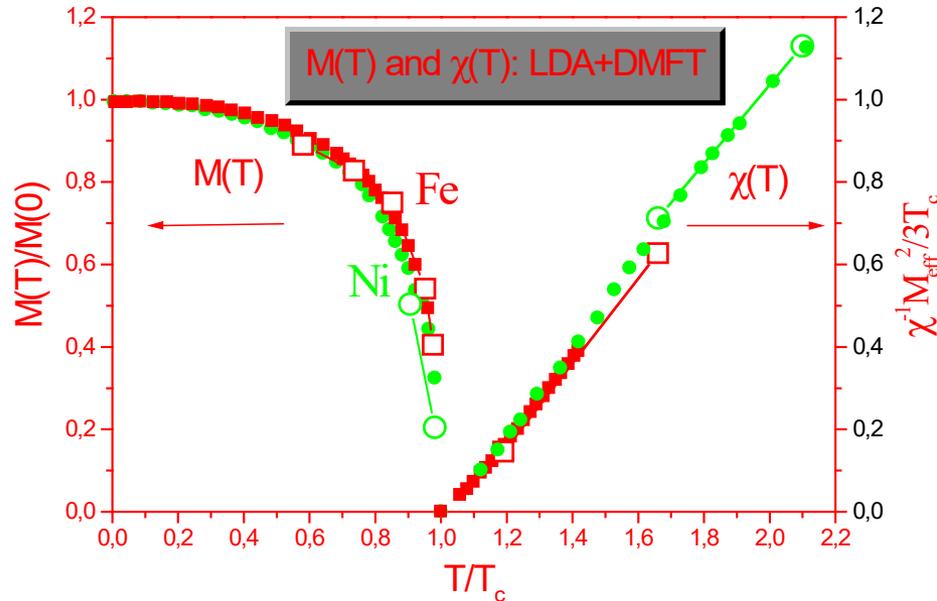
LDA+DMFT with ME
J. Braun *et al*
PRL (2006)



Lichtenstein, MIK, Kotliar, PRL (2001)

DMFT Effective Magnetic Moments: $T > T_c$

VV	exp	eff	loc	DLM	Tc	exp
Fe	3.13	3.09	2.8	1.96	1900	1043
Ni	1.62	1.5	1.3	1.21	700	631



ARPES for iron

PRL **103**, 267203 (2009)

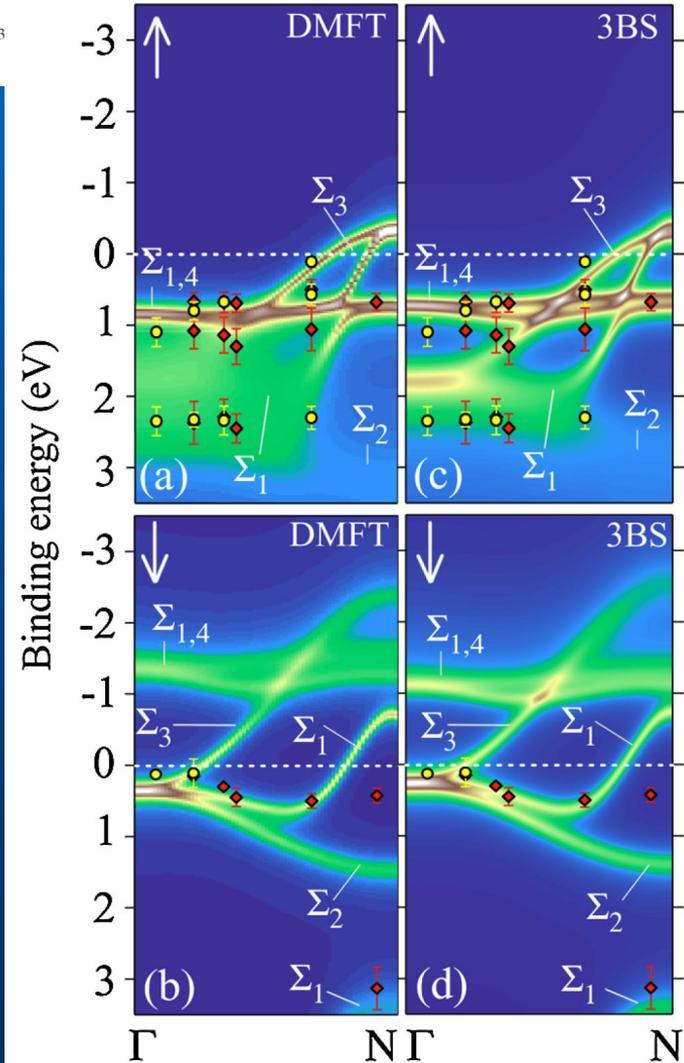
PHYSICAL REVIEW LETTERS

week ending
31 DECEMBER 2009

Strength of Correlation Effects in the Electronic Structure of Iron

J. Sánchez-Barriga,¹ J. Fink,^{1,2} V. Boni,³ I. Di Marco,^{4,5} J. Braun,⁶ J. Minár,⁶ A. Varykhalov,¹ O. Rader,¹ V. Bellini,³
F. Manghi,³ H. Ebert,⁶ M.I. Katsnelson,⁵ A.I. Lichtenstein,⁷ O. Eriksson,⁴ W. Eberhardt,¹ and H. A. Dürr¹

Agreement is not bad (much better than LDA/GGA) but essentially worse than in nickel. Correlations in iron are not quite local

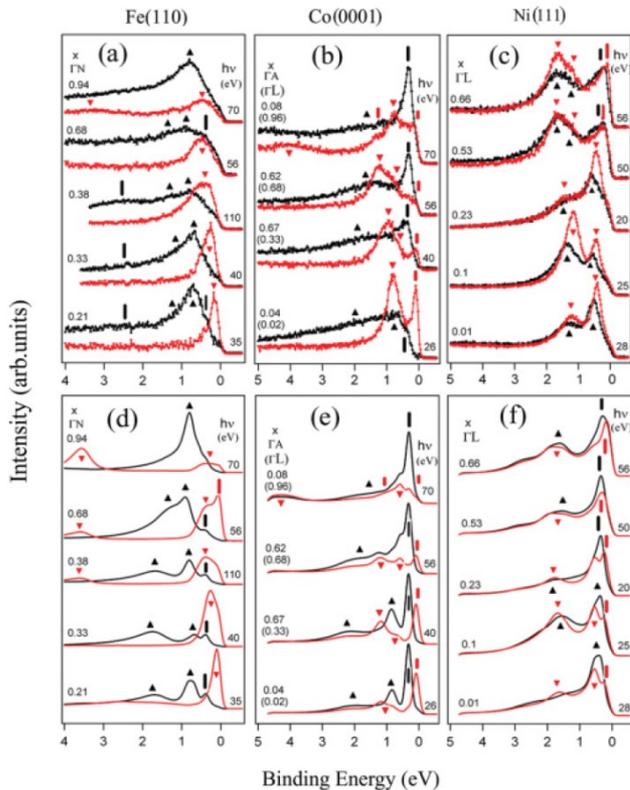


ARPES for 3d metals

PHYSICAL REVIEW B **85**, 205109 (2012)

Effects of spin-dependent quasiparticle renormalization in Fe, Co, and Ni photoemission spectra: An experimental and theoretical study

J. Sánchez-Barriga,¹ J. Braun,² J. Minár,² I. Di Marco,³ A. Varykhalov,¹ O. Rader,¹ V. Boni,⁴ V. Bellini,⁵ F. Manghi,⁴ H. Ebert,² M. I. Katsnelson,⁶ A. I. Lichtenstein,⁷ O. Eriksson,³ W. Eberhardt,¹ H. A. Dürr,^{1,8} and J. Fink^{1,9}



Variation of U
does not help
too much for Fe

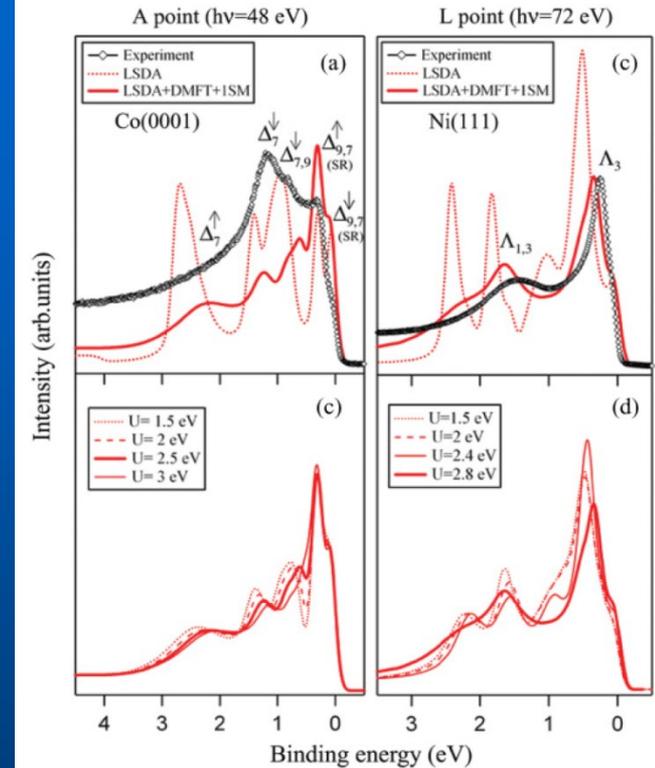


TABLE I. Values of the experimental and theoretical mass enhancement factors m^*/m_0 for majority spin states at high symmetry points of the BBZ of Fe, Co, and Ni, respectively. The theoretical values are derived for $U(\text{Fe}) = 1.5$ eV, $U(\text{Co}) = 2.5$ eV, $U(\text{Ni}) = 2.8$ eV.

	Fe		Co		Ni	
	Expt.	Theory	Expt.	Theory	Expt.	Theory
Γ	1.7	1.2	Γ	1.26 1.31	Γ	2.0 1.8
N	1.1	1.2	A	1.29 1.31	Λ	1.9 1.8

Black – spin up, red – spin down
Upper panel – exper, lower - DMFT

Exchange and LW Functional

MIK & Lichtenstein Phys. Rev. B 61, 8906 (2000)

Luttinger-Ward functional

Magnetic force
theorem

$$\begin{aligned}\Omega^d &= \Omega_{sp}^d - \Omega_{dc}^d \\ \Omega_{sp}^d &= -Tr \left\{ \ln \left[\Sigma - G_0^{-1} \right] \right\} \\ \Omega_{dc}^d &= Tr \Sigma G - \Phi\end{aligned}$$

$$G^{-1} = G_0^{-1} - \Sigma$$

$$\Sigma = \frac{\delta \Phi}{\delta G}$$

$$\delta \Omega = \delta^* \Omega_{sp} + \delta_1 \Omega_{sp} - \delta \Omega_{dc}$$

$$\delta_1 \Omega_{sp} = \delta \Omega_{dc} = Tr G \delta \Sigma$$

$$\delta \Omega = \delta^* \Omega_{sp} = -\delta^* Tr \ln \left[\Sigma - G_0^{-1} \right]$$

Exchange interactions from DMFT

Heisenberg exchange:

$$H = - \sum_{ij} J_{ij} S_i S_j$$

Magnetic torque:

$$\delta \mathbf{e}_i = \delta \varphi_i \times \mathbf{e}_i$$

$$\delta \Omega = \delta^* \Omega_{sp} = \mathbf{V}_i \delta \varphi_i$$

$$\mathbf{V}_i = 2 \text{Tr}_{\omega L} [\Sigma_i^s \times \mathbf{G}_{ii}^s]$$

Exchange interactions:

$$J_{ij} = -\text{Tr}_{\omega L} \left(\Sigma_i^s G_{ij}^\uparrow \Sigma_j^s G_{ji}^\downarrow \right)$$

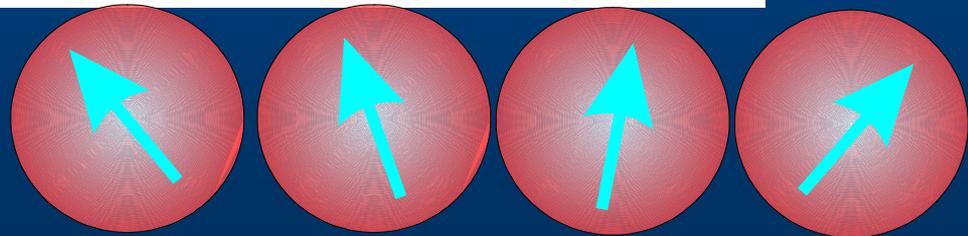
Spin wave spectrum:

$$\Sigma_i^s = \frac{1}{2} \left(\Sigma_i^\uparrow - \Sigma_i^\downarrow \right)$$

$$\omega_{\mathbf{q}} = \frac{4}{M} \sum_j J_{0j} \left(1 - \cos \mathbf{q} \mathbf{R}_j \right) \equiv \frac{4}{M} [J(0) - J(\mathbf{q})]$$

Non-collinear magnetism

:



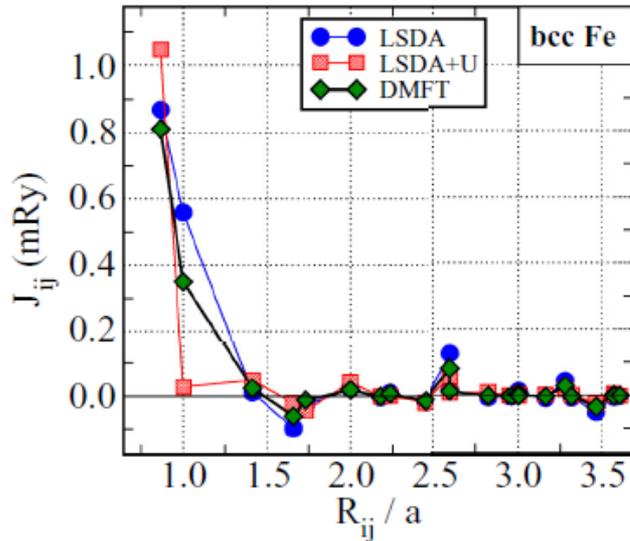
MIK & Lichtenstein Phys. Rev. B 61, 8906 (2000)

Applications

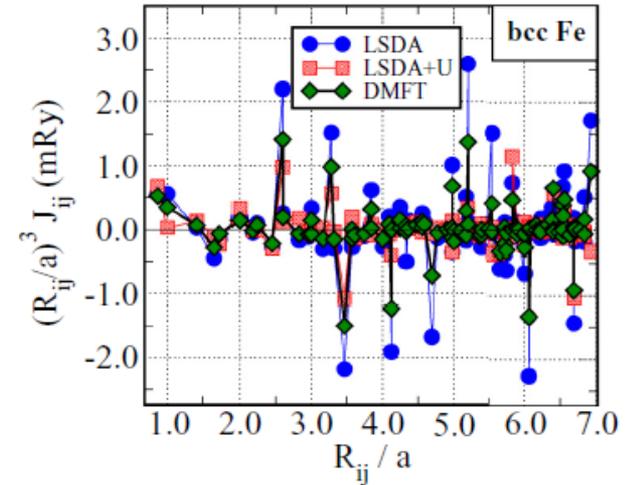
PHYSICAL REVIEW B 91, 125133 (2015)

Exchange parameters of strongly correlated materials: Extraction from spin-polarized density functional theory plus dynamical mean-field theory

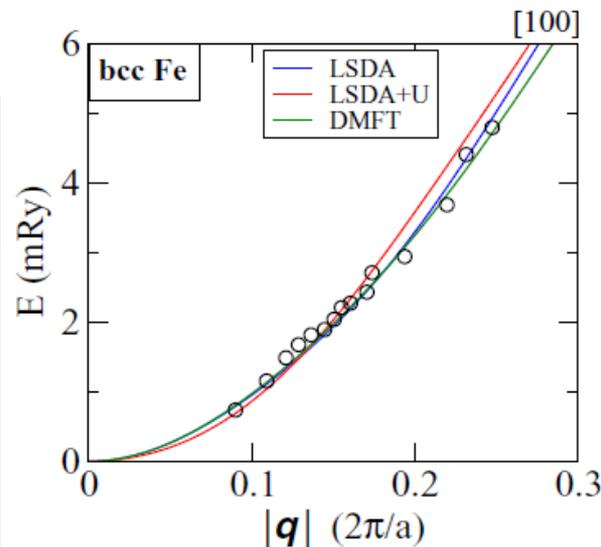
Y. O. Kvashnin,¹ O. Grånäs,^{1,2} I. Di Marco,¹ M. I. Katsnelson,^{3,4} A. I. Lichtenstein,^{4,5} and O. Eriksson¹



*For Fe (and Ni)
quite small
difference
between DFT
and DMFT*

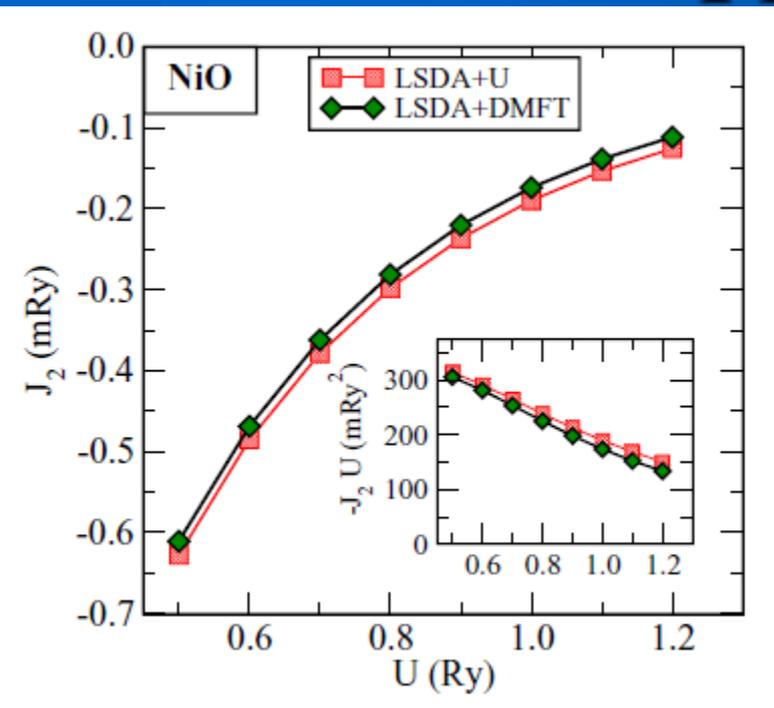


*Nontrivial: electronic
structure is very
different!*



Error cancellation?!

Applications II

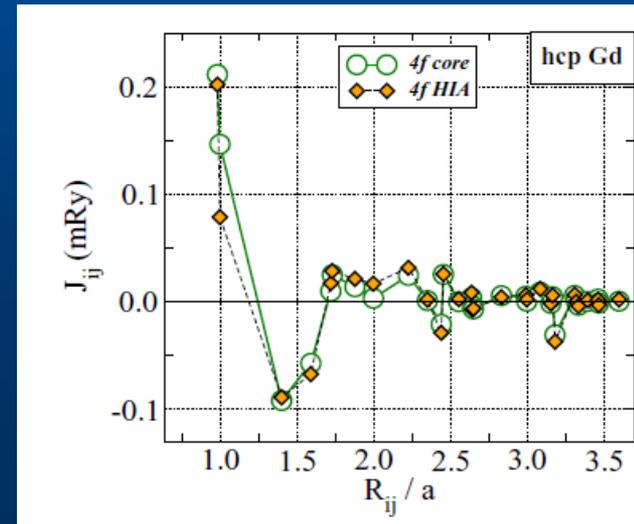


Computational setup	J_1	J_2
LSDA	0.04	-1.58
LSDA + DMFT	-0.003	-0.48
LSDA + U	-0.002	-0.50
LSDA + U ($U = 8$ eV) (Ref. [42])	0.004/0.0	-0.53
Exp. 1 (Ref. [41])	-0.051	-0.637
Exp. 2 (Ref. [49])	0.051	-0.67

Does not follow a naive formula t^2/U
 Difference between Mott
 and charge transfer insulator

NiO: not too big difference
 between DMFT and LDA + U

Gd: also, DFT works quite good



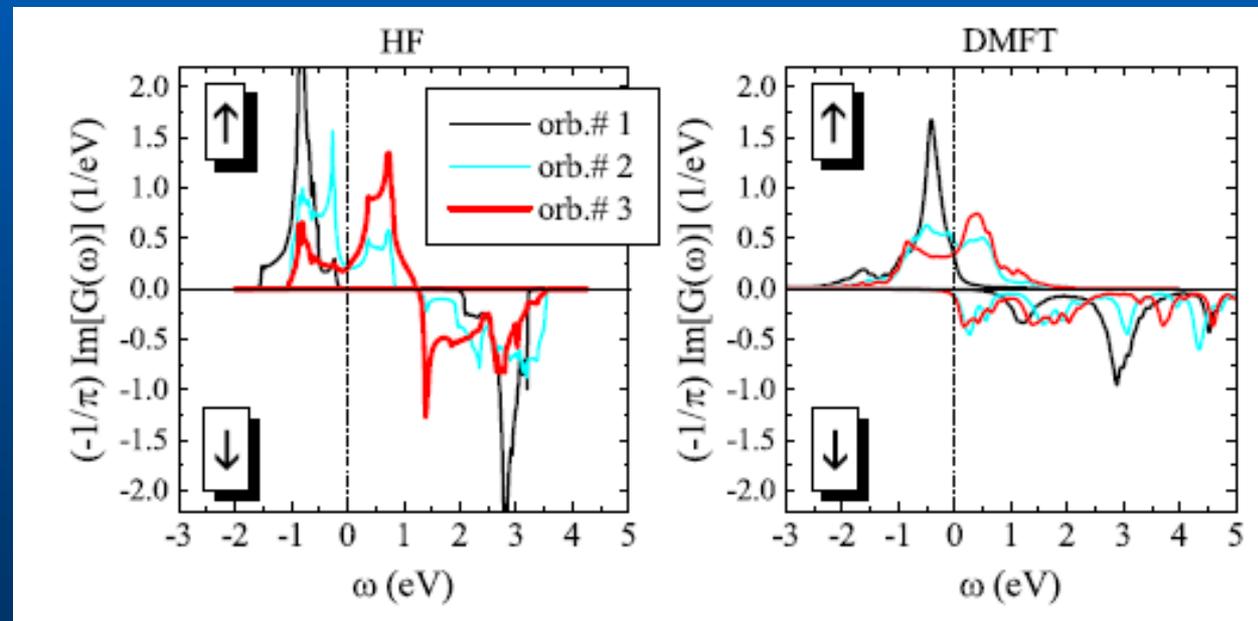
Applications III

PHYSICAL REVIEW B 92, 144407 (2015)

Mechanisms and origins of half-metallic ferromagnetism in CrO_2

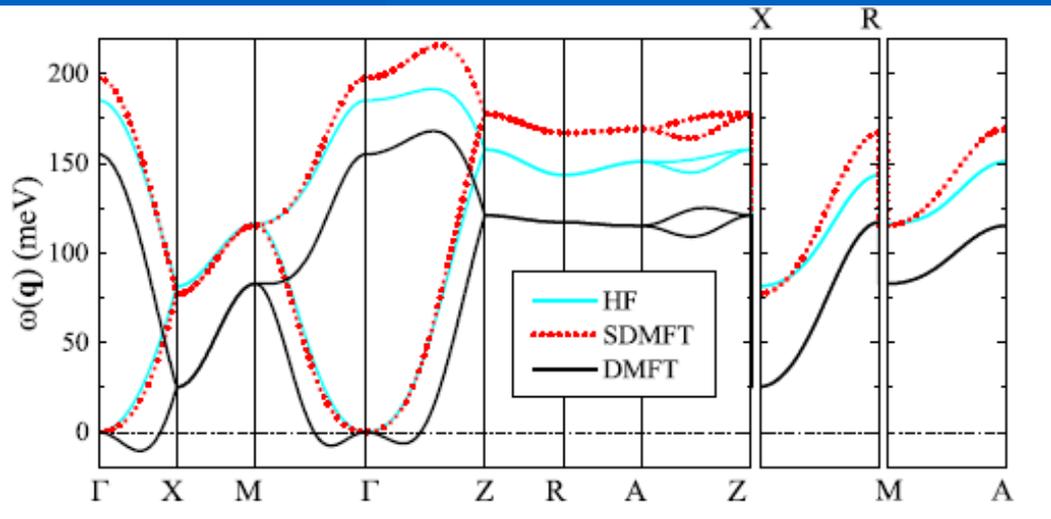
I. V. Solovyev,^{1,2,*} I. V. Kashin,² and V. V. Mazurenko²

Half-metallic FM
DMFT shows
non-quasiparticle
states in the gap
MIK et al, RMP 80,
315 (2008)



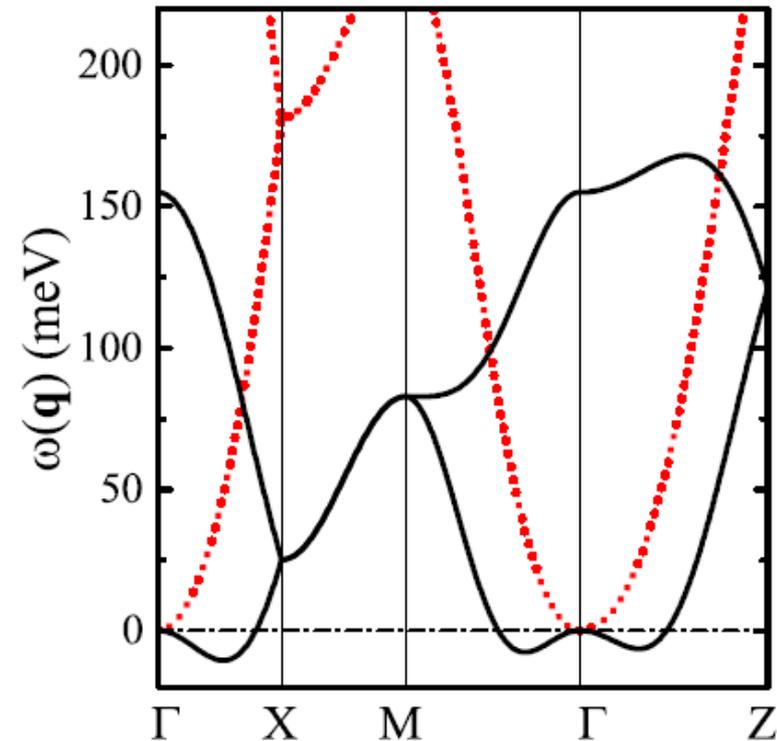
Applications IV

Important consequences from DMFT contributions to exchange



Without magnetic polarization of oxygen FM state is unstable within DMFT (but not in simpler approaches)

FIG. 9. (Color online) Results of calculations of the spin-wave dispersion with the DMFT parameters obtained for the isolated t_{2g} band (solid line) and after taking into account the additional FM contribution $\Delta J_2 = 17.81$ meV, arising from magnetic polarization of the oxygen band and direct exchange interactions in the t_{2g} band (dotted line). Notations of the high-symmetry points of the BZ are taken from [55].



Direct exchange also plays an important role

Dzialoshinskii-Moriya interactions

MIK, Kvashnin, Mazurenko & Lichtenstein, PRB 82, 100403 (2010)

LDA+U

$$\begin{aligned}\hat{H} &= \hat{H}_t + \hat{H}_u \\ &= \sum_{12} c_1^\dagger t_{12} c_2 + \frac{1}{2} \sum_{1234} c_1^\dagger c_2^\dagger U_{1234} c_3 c_4\end{aligned}$$

*DM interactions
(weak FM, etc.)*

$$H_{DM} = \sum_{ij} \vec{D}_{ij} [\vec{e}_i \times \vec{e}_j]$$

Small rotations

$$\hat{R}_i = e^{i\delta\varphi_i \vec{J}}$$

$$\hat{\vec{J}} = \hat{\vec{L}} + \hat{\vec{S}}$$

Dzialoshinskii-Moriya interactions II

Starting from collinear configuration

$$\begin{aligned}\delta\hat{H}_t &= \sum_{ij} c_i^+ (\delta\hat{R}_i^+ \hat{t}_{ij} + \hat{t}_{ij} \delta\hat{R}_j) c_j \\ &= -i \sum_{ij} c_i^+ (\delta\vec{\varphi}_i \hat{J} \hat{t}_{ij} - \hat{t}_{ij} \hat{J} \delta\vec{\varphi}_j) c_j \\ &= -\frac{i}{2} \sum_{ij} c_i^+ (\delta\vec{\varphi}_i - \delta\vec{\varphi}_j) (\hat{J} \hat{t}_{ij} + \hat{t}_{ij} \hat{J}) c_j\end{aligned}$$

$$\vec{D}_{ij} = -\frac{i}{2} \text{Tr}_{m,\sigma} \langle c_i^+ [\hat{J}, \hat{t}_{ij}]_+ c_j \rangle = -\frac{i}{2} \text{Tr}_{m,\sigma} N_{ji} [\hat{J}, \hat{t}_{ij}]_+$$

$$N_{ji} = \langle c_i^+ c_j \rangle = -\frac{1}{\pi} \int_{-\infty}^{E_f} \text{Im} G_{ji}(E) dE$$

FeBO₃

LETTERS

PUBLISHED ONLINE: 9 FEBRUARY 2014 | DOI: 10.1038/NPHYS2859

nature
physics

*A novel exper.
technique to
measure DM vector
and not only canting
angle (resonant
X-ray scattering)*

Measuring the Dzyaloshinskii–Moriya interaction in a weak ferromagnet

V. E. Dmitrienko¹, E. N. Ovchinnikova², S. P. Collins^{3*}, G. Nisbet³, G. Beutier⁴, Y. O. Kvashnin⁵,
V. V. Mazurenko⁶, A. I. Lichtenstein⁷ and M. I. Katsnelson^{6,8}

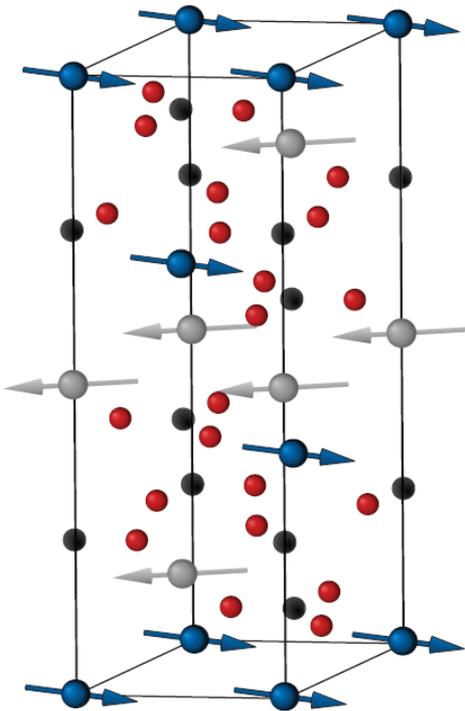


TABLE I. Calculated values of isotropic exchange interactions between magnetic moments in FeBO₃ (in meV). The number in parentheses denotes the coordination sphere.

Fe ⁽¹⁾	Fe ⁽²⁾	Fe ⁽³⁾	Fe ⁽⁴⁾	Fe ⁽⁵⁾	Fe ⁽⁶⁾	Fe ⁽⁷⁾
10.28	0.21	0	0.54	-0.08	0	0.02

TABLE III. Parameters of Dzyaloshinskii–Moriya interaction (in meV) calculated by using Eq. (6).

Bond $m - n$	\mathbf{R}_{mn}	\mathbf{D}_{mn} (meV)
0-1	(1.0 ; 0.0 ; -0.904)	(-0.25; 0.0; -0.24)
0-2	(-0.5 ; $-\sqrt{3}/2$; -0.904)	(0.12 ; 0.22 ; -0.24)
0-3	(-0.5 ; $\sqrt{3}/2$; -0.904)	(0.12 ; -0.22 ; -0.24)
0-4	(-1.0 ; 0.0 ; 0.904)	(-0.25; 0.0 ; -0.24)
0-5	(0.5 ; $-\sqrt{3}/2$; 0.904)	(0.12 ; -0.22 ; -0.24)
0-6	(0.5 ; $\sqrt{3}/2$; 0.904)	(0.12 ; 0.22 ; -0.24)

*Agrees
well
with
exper.*

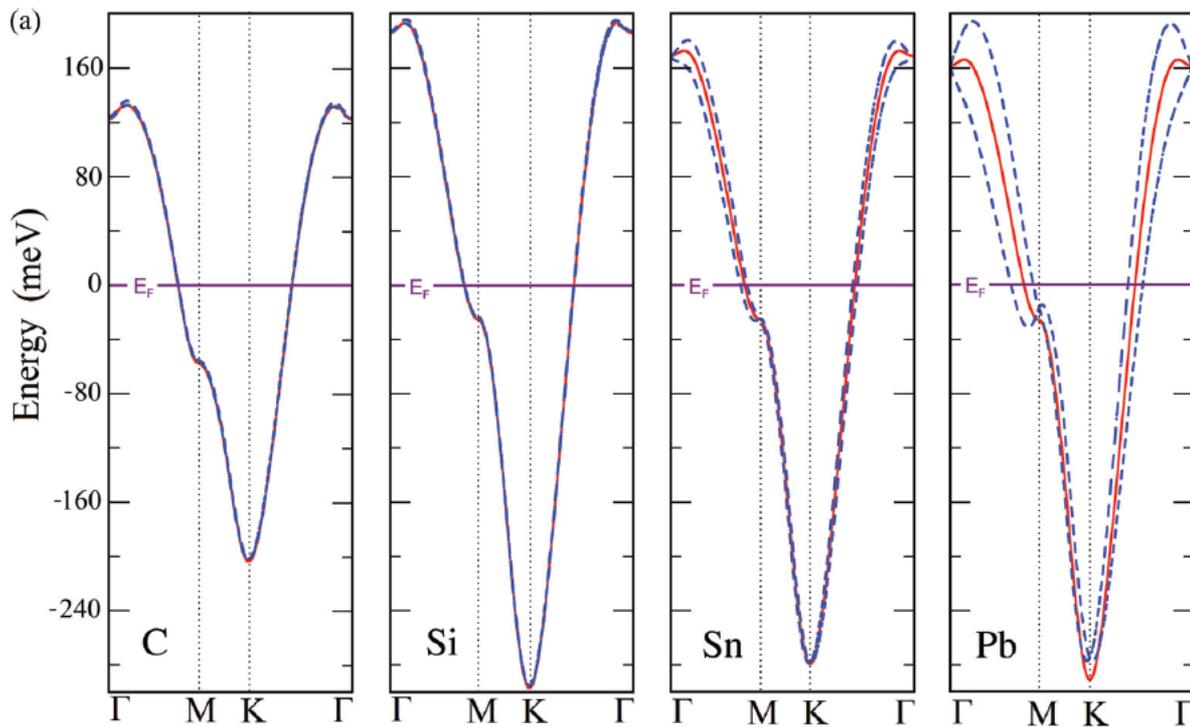
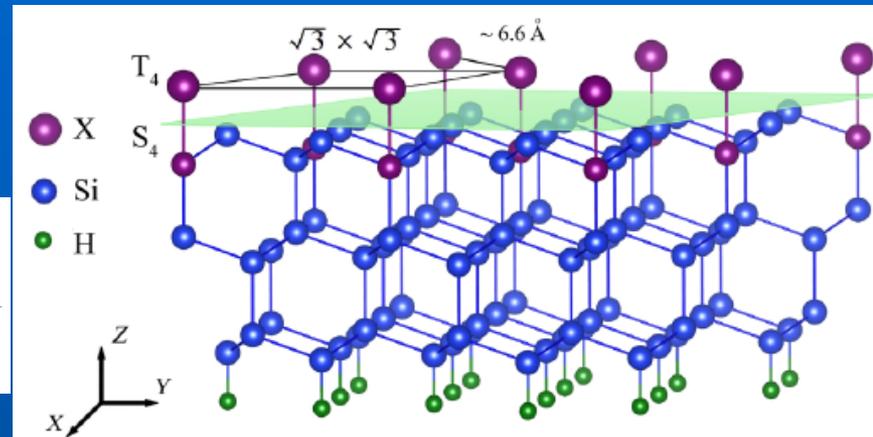
Si(111):X (X=C,Si,Sn,Pb)

sp-electron magnets

PHYSICAL REVIEW B **94**, 224418 (2016)

Spin-orbit coupling and magnetic interactions in Si(111):{C,Si,Sn,Pb}

D. I. Badrtdinov,¹ S. A. Nikolaev,¹ M. I. Katsnelson,^{1,2} and V. V. Mazurenko¹



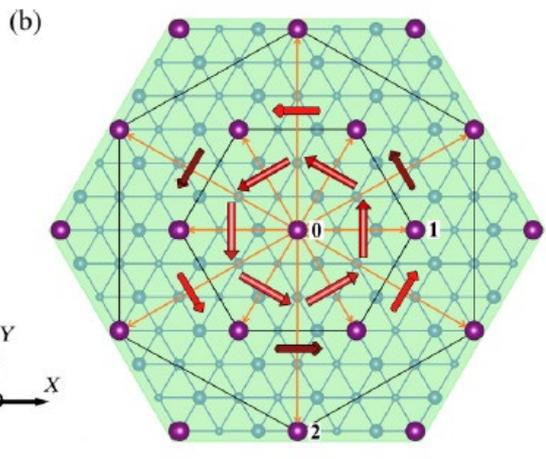
Single narrow band near the Fermi energy

*Red – without SO
Blue – with SO*

Si(111):X (X=C,Si,Sn,Pb) II

Mott insulator if take into account Hubbard U

Ground state magnetic configurations for Si(111):Pb in magnetic field (MC simulations)

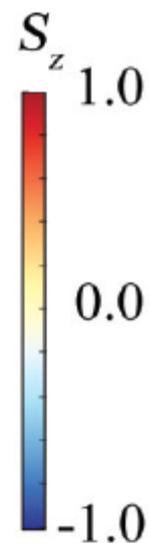
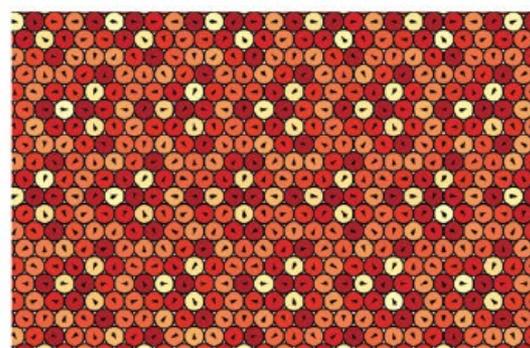
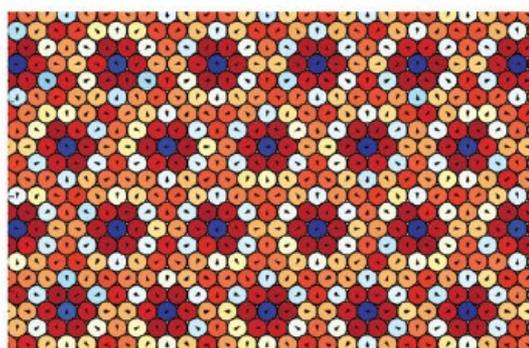
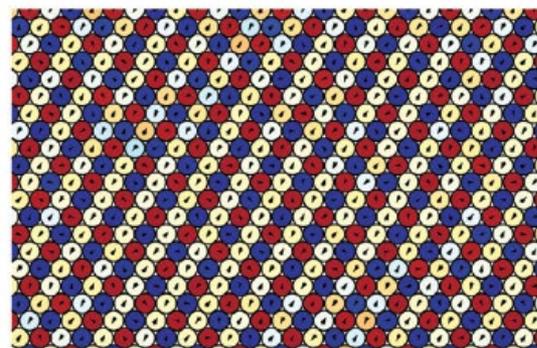


Orientation of DMI

$$h / J_{01} = 0.0$$

$$h / J_{01} = 3.6$$

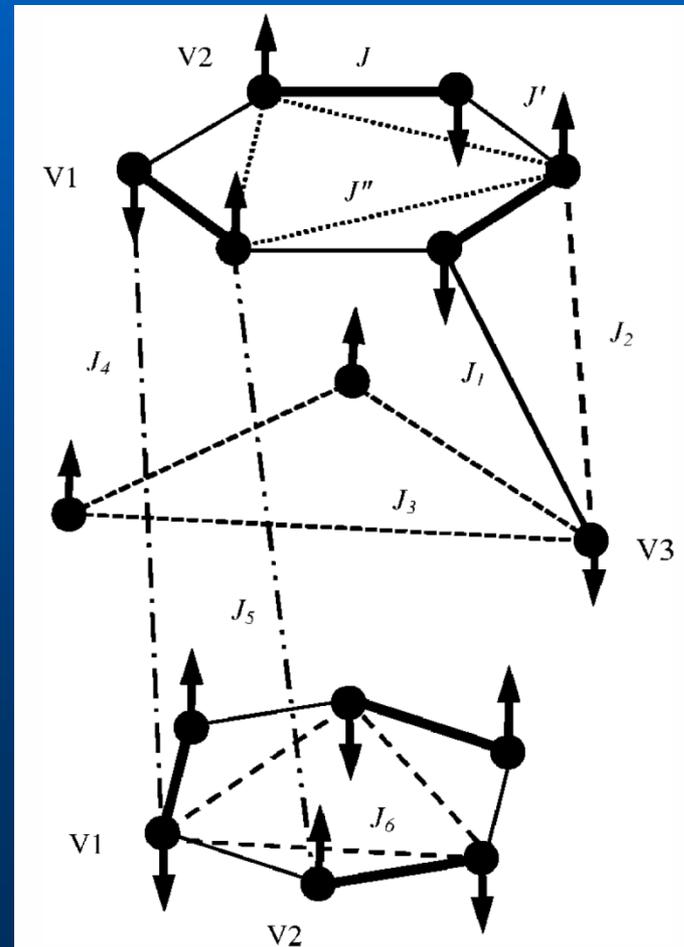
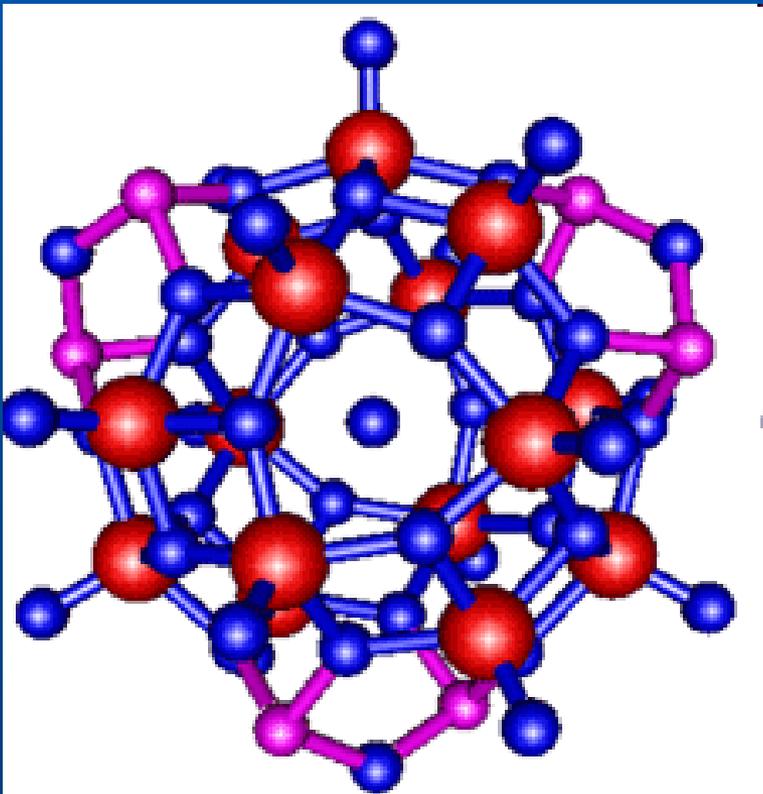
$$h / J_{01} = 6.2$$



Molecular magnets

Example: V_{15}

AFM ground state $S = 1/2$



LDA+U calculations

PHYSICAL REVIEW B **70**, 054417 (2004)

Electronic structure and exchange interactions in V_{15} magnetic molecules: LDA+U results

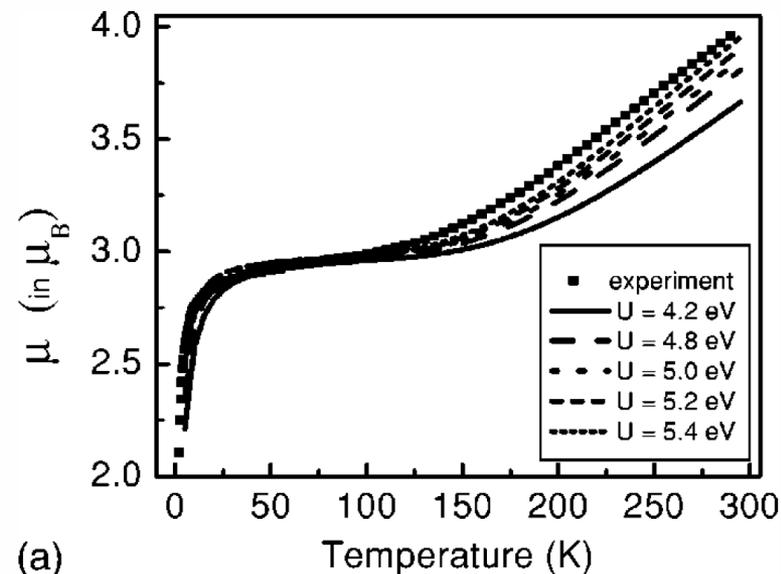
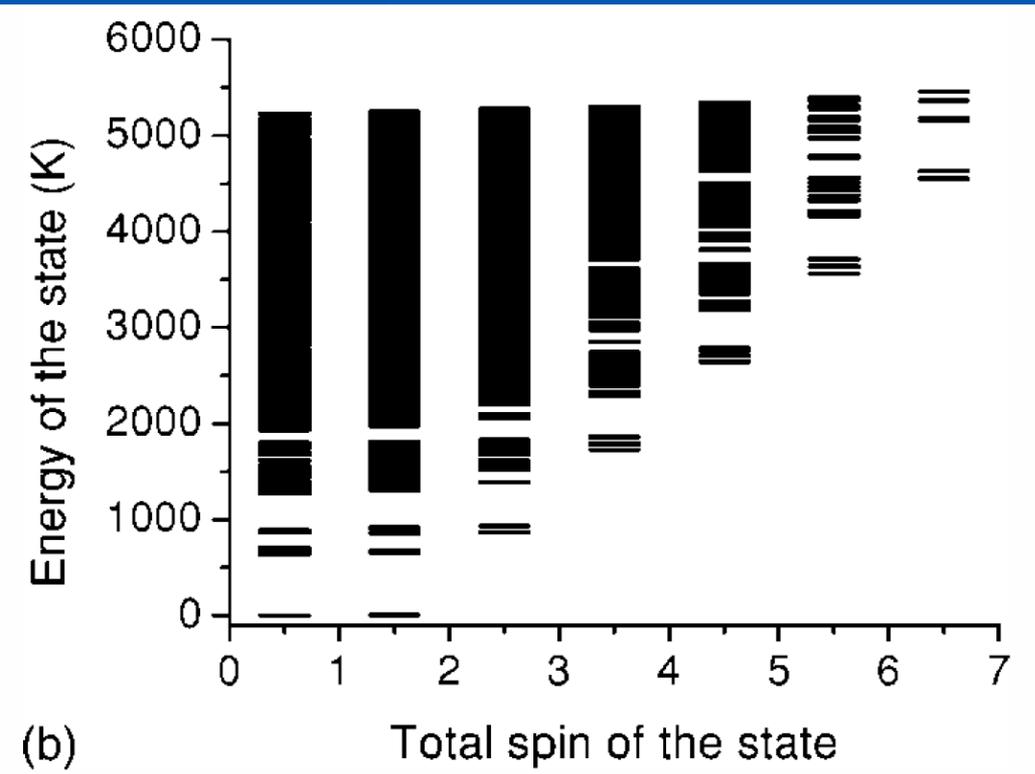
D. W. Boukhvalov,^{1,2} V. V. Dobrovitski,³ M. I. Katsnelson,^{2,4} A. I. Lichtenstein,⁵ B. N. Harmon,³ and P. Kögerler³

TABLE II. The exchange parameters (in Kelvin), electronic gap, and the magnetic moments of V ions for different magnetic structures of V_{15} . The calculations have been made for $U=4$ eV, $J=0.8$ eV.

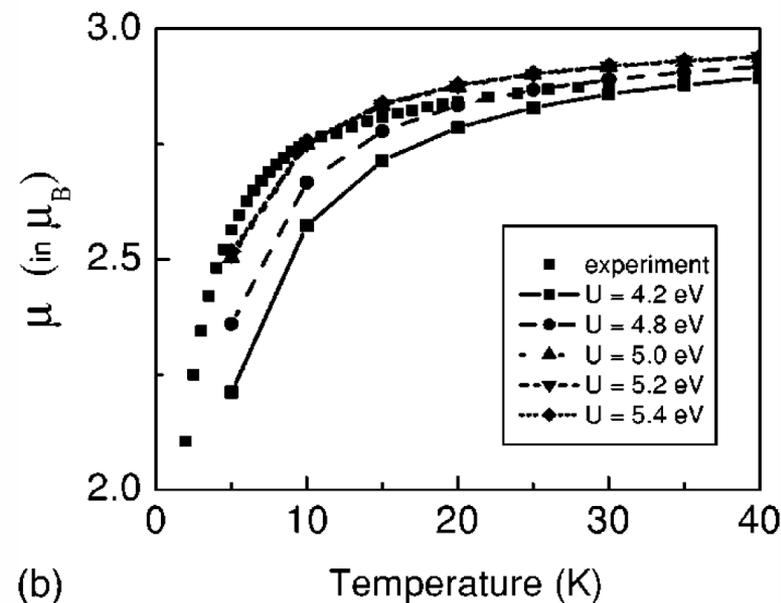
parameter	AFM1	AFM2	FM
J	-910	-905	-942
J'	-45	-46	-53
J''	-136	-139	-156
J_1	-219	-247	-255
J_2	-134	-128	-132
J_3	-5	-5	-6
J_4	-13	-12	-15
J_5	-3	-3	-3
J_6	-3	-3	-3
gap	1.08	1.02	1.16
μ_{V1}	-0.94	-0.93	-0.99
μ_{V2}	+0.91	+0.92	-0.97
μ_{V3}	-1.00	+0.97	-1.00

LDA+U calculations II

Exact diagonalization
for Heisenberg model



(a)



(b)

Mn₁₂: full calculations

PHYSICAL REVIEW B **00**, 004400 (2014)

First-principles modeling of magnetic excitations in Mn₁₂

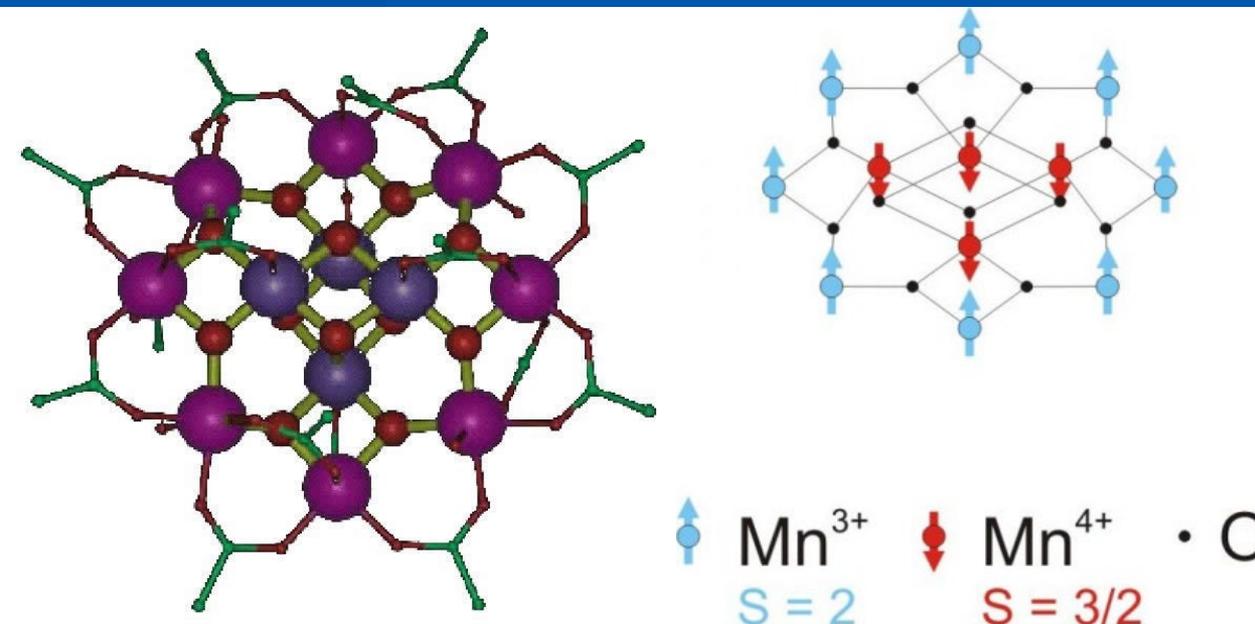
V. V. Mazurenko,¹ Y. O. Kvashnin,^{2,3} Fengping Jin,⁴ H. A. De Raedt,⁵ A. I. Lichtenstein,⁶ and M. I. Katsnelson^{1,7}

Motivation

The prototype molecular magnet

Dimension of Hilbert space:
 $(2 \times 2 + 1)^8 (2 \times 3/2 + 1)^4 = 10^8$

A real challenge!



Mn₁₂: full calculations II

Inelastic neutron scattering data: cannot be explained without strong DM interactions (MIK, Dobrovistki & Harmon, PRB 1999)

Eight-spin model: S = 1/2 dimers from S=2 and S=3/2

Dimensionality of Hilbert space decreases to 10⁴

Cannot be justified quantitatively!

Full LDA+U calculations plus Lanczos ED

$$\hat{H} = \sum_{ij} J_{ij} \hat{S}_i \hat{S}_j + \sum_{i\mu\nu} \hat{S}_i^\mu A_i^{\mu\nu} \hat{S}_i^\nu + \sum_{ij} \vec{D}_{ij} [\hat{S}_i \times \hat{S}_j]$$

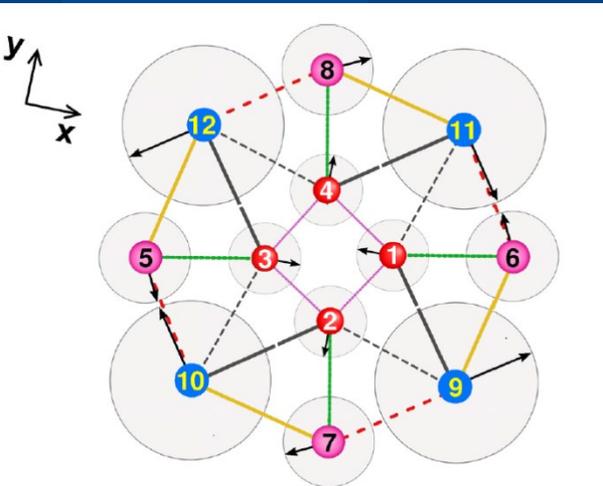


TABLE I. Intramolecular isotropic exchange interaction parameters (in meV) calculated by using the LDA + U approach. Positive sign corresponds to the antiferromagnetic coupling.

Bond (i, j)	1-6	1-11	1-9	6-9	7-9	1-4	1-3
J_{ij} (this work)	4.6	1.0	1.7	-0.45	-0.37	-1.55	-0.5
J_{ij} (Ref. [4])	4.8	1.37	1.37	-0.5	-0.5	-1.6	-0.7
J_{ij} (Ref. [26])	7.4	1.72	1.72			-1.98	

Mn₁₂: full calculations III

TABLE II. Intramolecular anisotropic exchange interaction parameters calculated by using the LDA + U approach. \vec{R}_{ij} is a radius vector connecting i th and j th atoms (in units of $a = 17.31$ Å).

Bond (i, j)	\vec{R}_{ij}	\vec{D}_{ij} (meV)
2-7	(0.03; -0.16; 0.0)	(-0.008; -0.013; -0.002)
4-8	(-0.03; 0.16; 0.0)	(0.008; 0.013; -0.002)
1-6	(0.16; 0.03; 0.0)	(-0.013; 0.008; -0.002)
3-5	(-0.16; -0.03; 0.0)	(0.013; -0.008; -0.002)
1-11	(0.06; 0.18; 0.07)	(-0.020; 0.03; -0.055)
3-10	(-0.06; -0.18; 0.07)	(0.020; -0.03; -0.055)
2-9	(0.18; -0.06; -0.07)	(-0.03; -0.020; -0.055)
4-12	(-0.18; 0.06; -0.07)	(0.03; 0.020; -0.055)
1-9	(0.11; -0.16; 0.04)	(0.020; 0.014; 0.03)
3-12	(-0.11; 0.16; 0.04)	(-0.020; -0.014; 0.03)
2-10	(-0.16; -0.11; -0.04)	(-0.014; 0.020; 0.03)
4-11	(0.16; 0.11; -0.04)	(0.014; -0.020; 0.03)
6-9	(-0.04; -0.18; 0.04)	(-0.006; -0.004; -0.012)
5-12	(0.04; 0.18; 0.04)	(0.006; 0.004; -0.012)
7-10	(-0.18; 0.04; -0.04)	(0.004; -0.006; -0.012)
8-11	(0.18; -0.04; -0.04)	(-0.004; 0.006; -0.012)
7-9	(0.15; 0.1; -0.07)	(0.020; -0.004; 0.012)
8-12	(-0.15; -0.1; -0.07)	(-0.020; 0.004; 0.012)
6-11	(-0.1; 0.15; 0.07)	(-0.004; -0.020; 0.012)
5-10	(0.1; -0.15; 0.07)	(0.004; 0.020; 0.012)
4-1	(-0.10; 0.06; 0.11)	(-0.014; 0.005; -0.013)
1-2	(-0.06; -0.10; 0.11)	(-0.005; -0.014; -0.013)
3-4	(0.07; 0.1; 0.11)	(0.005; 0.014; -0.013)
2-3	(-0.10; 0.07; -0.11)	(0.014; -0.005; -0.013)
1-3	(-0.16; -0.03; 0.0)	(-0.006; 0.030; 0)
2-4	(-0.04; 0.17; 0.0)	(-0.030; -0.006; 0)

Plus anisotropy tensors...

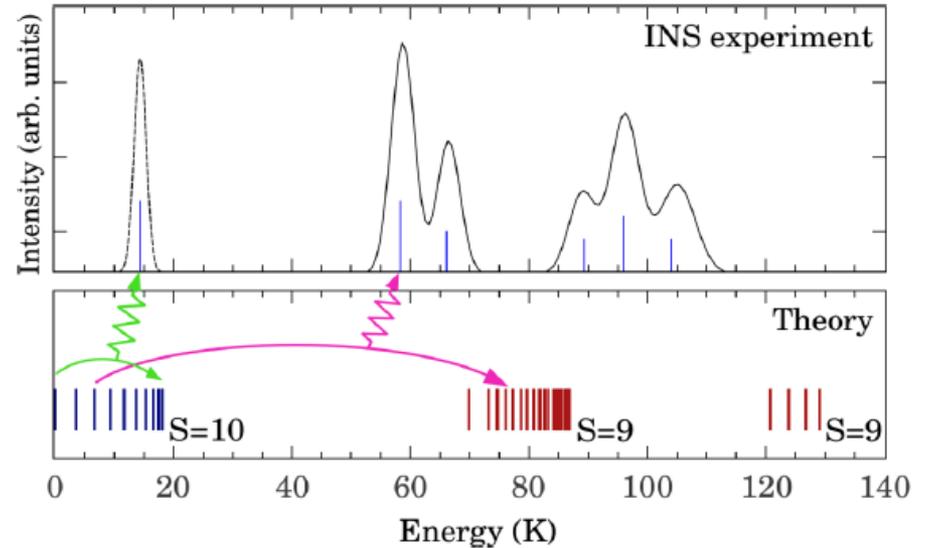


FIG. 2. (Color online) Schematic comparison of the theoretical spectrum obtained by diagonalizing Eq. (1) and INS spectrum taken from Ref. [12] (Figs. 6 and 8 therein). The arrows denote the intra- and interband transitions that correspond to the excitations observed in the INS experiment.

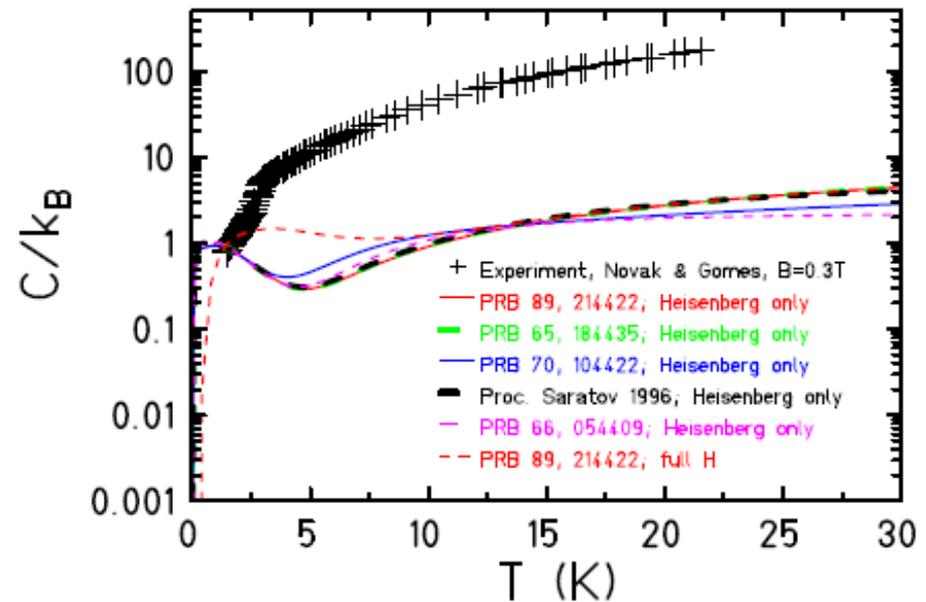
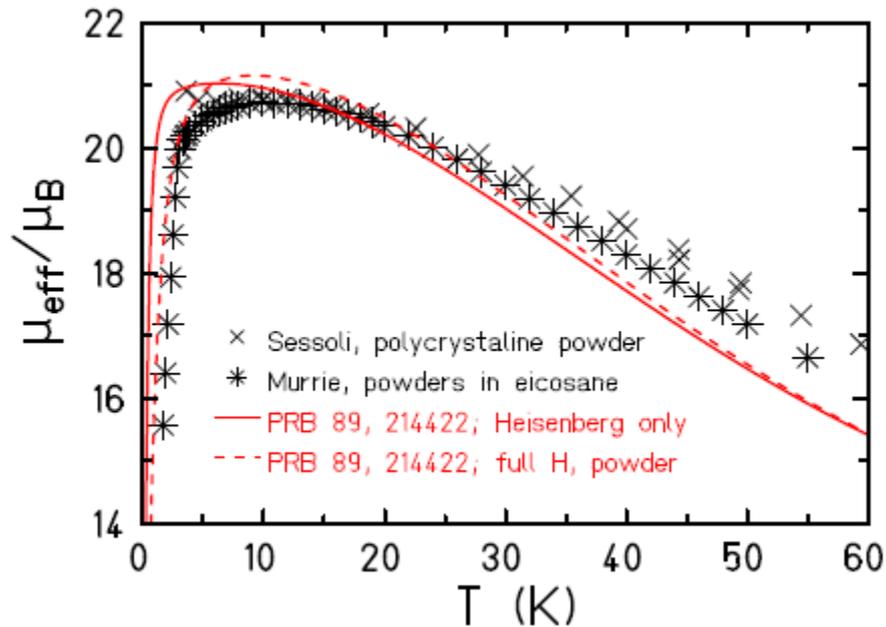
No fitting parameters at all – not so bad!

Mn₁₂: full calculations IV

PHYSICAL REVIEW B 92, 064424 (2015)

Thermodynamic observables of Mn₁₂-acetate calculated for the full spin Hamiltonian

Oliver Hanebaum and Jürgen Schnack*



Also, thermodynamic quantities can be calculated

Beyond the talk

Finite-temperature effects

Ab initio spin dynamics for real systems

Intermediate level: TB spin dynamics

And many, many specific applications to real materials

Collaboration

Recent:

A. Lichtenstein and S. Brener (Hamburg)

A. Secchi and A. Rudenko (Nijmegen)

V. Mazurenko (Ekaterinburg)

Ya. Kvashnin and O. Eriksson (Uppsala)

and many other people involved in development of the formalism and calculations for specific materials in 1987-2013, esp. V. Antropov (Ames) and D. Boukhvalov (Seoul)

Thank you for your attention