

Radboud Universiteit



# *Theory of magnetic interactions in real materials*

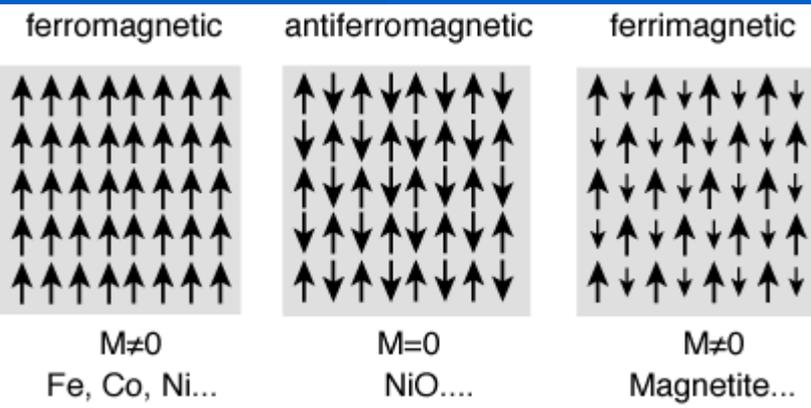
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Institute for Molecules and Materials

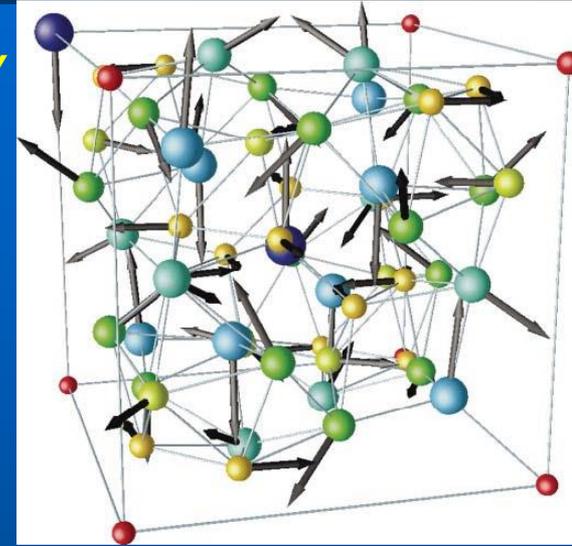
# Types of magnetic ordering

## Textbook wisdom

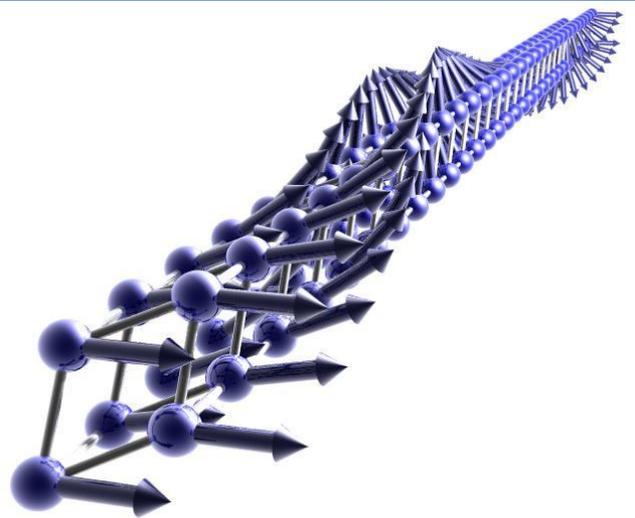


Sometimes very complicated

$\alpha$ -Mn

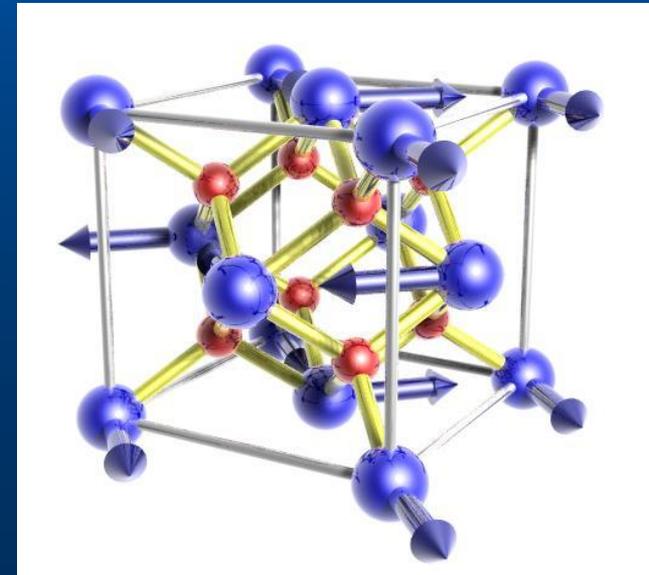


## Spin spirals



$\gamma$ -Fe

$UO_2$



# *Types of magnetic interactions*

$$\hat{H} = \sum_{ij} J_{ij} \hat{S}_i \hat{S}_j + \sum_{i\mu\nu} \hat{S}_i^\mu A_i^{\mu\nu} \hat{S}_i^\nu + \sum_{ij} \vec{D}_{ij} [\hat{S}_i \times \hat{S}_j]$$

The first term: *exchange interactions* (Heisenberg model)  
Quantum, nonrelativistic (Coulomb interaction plus Pauli principle).  
Determine the type of magnetic ordering (mostly)

The second term: *magnetic anisotropy*  
Quantum, relativistic (due to spin-orbit interaction). At least, second-order in SOC. Determine “practical” magnetism (hard and soft magnetic materials, hysteresis loop, etc.)

The third term: *Dzyaloshinskii-Moriya interactions*  
Quantum, relativistic (due to spin-orbit interaction). First-order in SOC but require broken inversion symmetry. Responsible for weak FM, skyrmions etc.

# Density Functional Theory

SE for many-body wave function in configurational space is replaced by single-particle nonlinear self-consistent equation

Spinor

$$\Psi = \begin{pmatrix} \Psi_+ \\ \Psi_- \end{pmatrix}$$

$$i \frac{\partial \Psi}{\partial t} = [H_L - \hat{\boldsymbol{\sigma}} \cdot \mathbf{B}(\mathbf{r}, t)] \Psi$$

$$H_L = -\nabla_{\mathbf{r}}^2 + \sum_{\mathbf{R}} V_{\mathbf{rR}} + 2 \int d\mathbf{r}' \frac{n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + V_{\text{xc}}$$

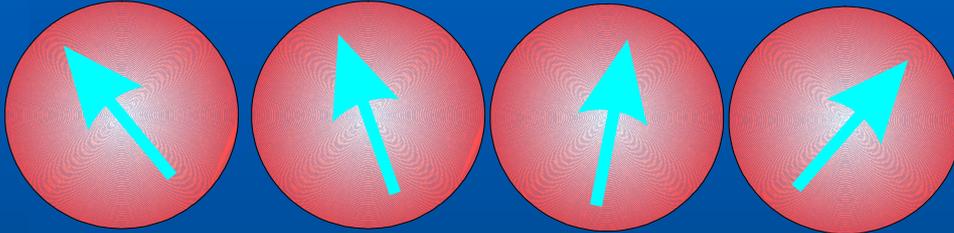
$\mathbf{B}$  is self-consistent magnetic field

The question: how to map density functional on classical spin Hamiltonian?

# Magnetic force theorem

(Lichtenstein, MIK, Gubanov, J. Phys. F 1984; Sol. St. Comm. 1985;  
Lichtenstein, MIK, Antropov, Gubanov JMMM 1987)

Basic idea: consider the variation of total energy at small rotations of local magnetic moments



$$\delta \mathbf{e}_i = \delta \varphi_i \times \mathbf{e}_i$$

Variation

$\delta^*$  at fixed potential

$\delta_1$  due to change of potential

Total energy in DFT

$$E = E_{sp} - E_{dc}$$

$$E_{sp} = \sum_v^{occ} \varepsilon_v$$

$$E_{dc} = E_{Hartree} + \int dr Tr \left[ \rho \frac{\delta E_{xc}}{\delta \rho} \right] - E_{xc}$$

$$\delta E = \delta^* E_{sp} + \delta_1 E_{sp} - \delta E_{dc} = \delta^* E_{sp} = \delta^* \int_{-\infty}^{\varepsilon_F} d\varepsilon \left[ \frac{1}{\pi} Tr \text{Im} \hat{G}(\varepsilon) \right]$$

# Green-function functionals (GW, DMFT...)

MIK & Lichtenstein Phys. Rev. B 61, 8906 (2000)

$$\begin{aligned}\Omega^d &= \Omega_{sp}^d - \Omega_{dc}^d \\ \Omega_{sp}^d &= -Tr \left\{ \ln \left[ \Sigma - G_0^{-1} \right] \right\} \\ \Omega_{dc}^d &= Tr \Sigma G - \Phi\end{aligned}$$

$$\delta\Omega = \delta^* \Omega_{sp} + \delta_1 \Omega_{sp} - \delta\Omega_{dc}$$

$$\delta_1 \Omega_{sp} = \delta\Omega_{dc} = Tr G \delta\Sigma$$

Magnetic force theorem

$$\delta\Omega = \delta^* \Omega_{sp} = -\delta^* Tr \ln \left[ \Sigma - G_0^{-1} \right]$$

Magnetic torque

$$\delta\Omega = \delta^* \Omega_{sp} = \mathbf{V}_i \delta\varphi_i$$

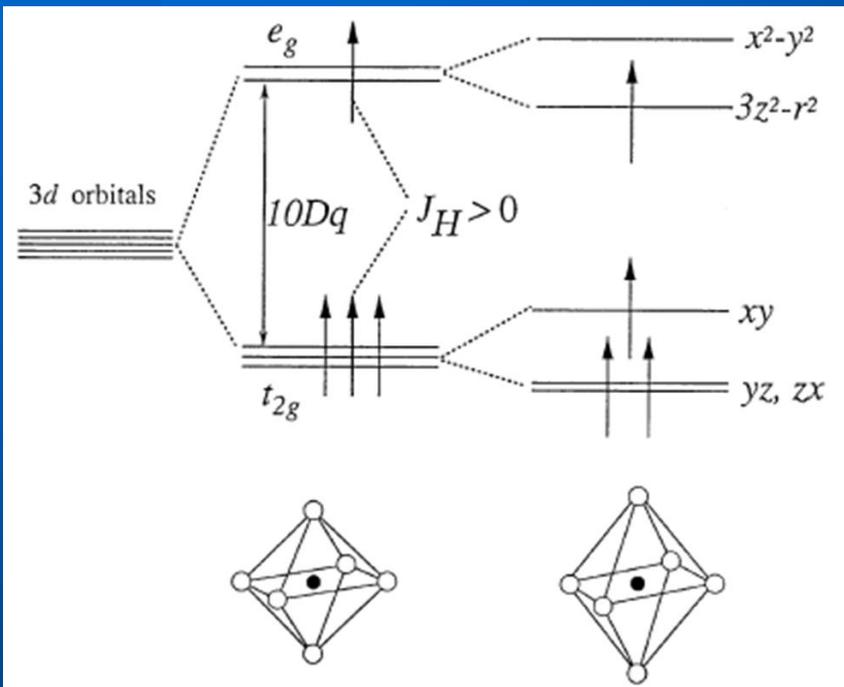
$$\mathbf{V}_i = 2Tr_{\omega L} \left[ \Sigma_i^s \times \mathbf{G}_{ii}^s \right]$$

Exchange interactions with local Sigma

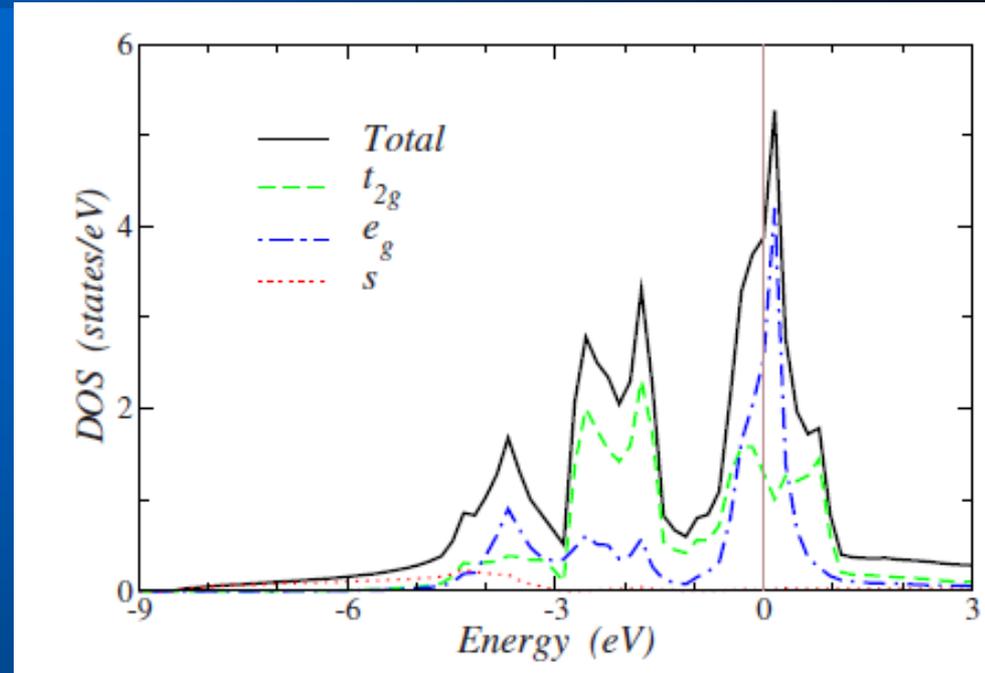
$$J_{ij} = -Tr_{\omega L} \left( \Sigma_i^s G_{ij}^\uparrow \Sigma_j^s G_{ji}^\downarrow \right)$$

$$\Sigma_i^s = \frac{1}{2} \left( \Sigma_i^\uparrow - \Sigma_i^\downarrow \right)$$

# Iron: some details



Crystal field splitting



DOS for nonmagnetic  
bcc Fe

Stoner criterion is fulfilled due to  $e_g$  states only; they should play a special role in magnetism of Fe (Irkhin, Katsnelson, Trefilov, JPCM 5, 8763 (1993))

# Iron: detailed analysis

PRL 116, 217202 (2016)

PHYSICAL REVIEW LETTERS

week ending  
27 MAY 2016

## Microscopic Origin of Heisenberg and Non-Heisenberg Exchange Interactions in Ferromagnetic bcc Fe

Y. O. Kvashnin,<sup>1</sup> R. Cardias,<sup>2</sup> A. Szilva,<sup>1</sup> I. Di Marco,<sup>1</sup> M. I. Katsnelson,<sup>3,4</sup> A. I. Lichtenstein,<sup>4,5</sup>  
L. Nordström,<sup>1</sup> A. B. Klautau,<sup>2</sup> and O. Eriksson<sup>1</sup>

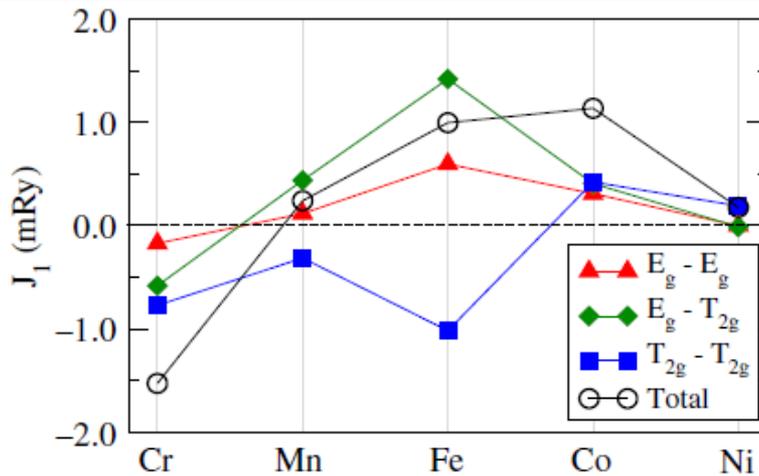
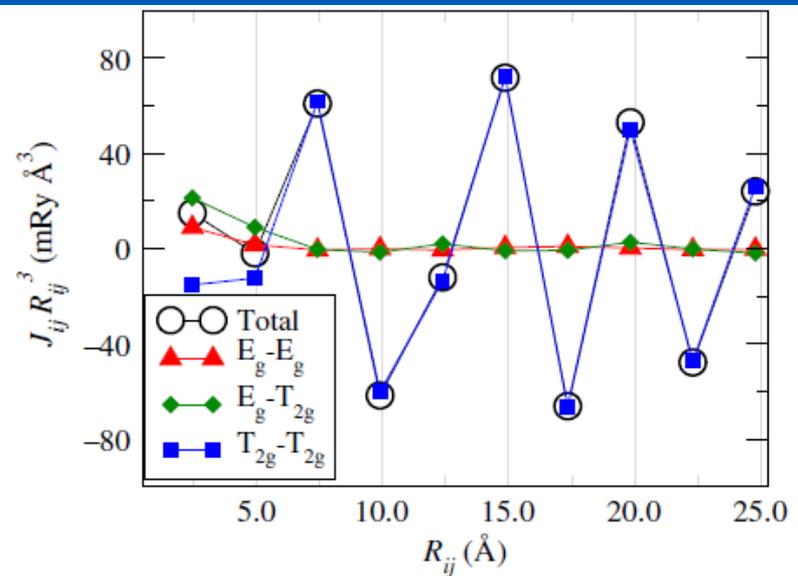


FIG. 1. Orbitaly decomposed NN exchange interaction in elemental 3d metals in the bcc structure.



$t_{2g}$  are itinerant electrons providing (Heisenberg-like) RKKY exchange with Friedel oscillations;  $e_g$  are more correlated providing (non-Heisenberg) “double exchange” typical for narrow-band systems

# *Dzialoshinskii-Moriya interactions*

MIK, Kvashnin, Mazurenko & Lichtenstein, PRB 82, 100403 (2010)

*LDA+U*

$$\begin{aligned}\hat{H} &= \hat{H}_t + \hat{H}_u \\ &= \sum_{12} c_1^\dagger t_{12} c_2 + \frac{1}{2} \sum_{1234} c_1^\dagger c_2^\dagger U_{1234} c_3 c_4\end{aligned}$$

*DM interactions  
(weak FM, etc.)*

$$H_{DM} = \sum_{ij} \vec{D}_{ij} [\vec{e}_i \times \vec{e}_j]$$

*Small rotations*

$$\hat{R}_i = e^{i\delta\varphi_i \vec{J}}$$

$$\hat{\vec{J}} = \hat{\vec{L}} + \hat{\vec{S}}$$

# Dzyaloshinskii-Moriya interactions II

Starting from collinear configuration

$$\begin{aligned}\delta\hat{H}_t &= \sum_{ij} c_i^+ (\delta\hat{R}_i^+ \hat{t}_{ij} + \hat{t}_{ij} \delta\hat{R}_j) c_j \\ &= -i \sum_{ij} c_i^+ (\delta\vec{\varphi}_i \hat{J} \hat{t}_{ij} - \hat{t}_{ij} \hat{J} \delta\vec{\varphi}_j) c_j \\ &= -\frac{i}{2} \sum_{ij} c_i^+ (\delta\vec{\varphi}_i - \delta\vec{\varphi}_j) (\hat{J} \hat{t}_{ij} + \hat{t}_{ij} \hat{J}) c_j\end{aligned}$$

$$\vec{D}_{ij} = -\frac{i}{2} \text{Tr}_{m,\sigma} \langle c_i^+ [\hat{J}, \hat{t}_{ij}]_+ c_j \rangle = -\frac{i}{2} \text{Tr}_{m,\sigma} N_{ji} [\hat{J}, \hat{t}_{ij}]_+$$

$$N_{ji} = \langle c_i^+ c_j \rangle = -\frac{1}{\pi} \int_{-\infty}^{E_f} \text{Im} G_{ji}(E) dE$$

# FeBO<sub>3</sub>

LETTERS

PUBLISHED ONLINE: 9 FEBRUARY 2014 | DOI: 10.1038/NPHYS2859

nature  
physics

*A novel exper.  
technique to  
measure DM vector  
and not only canting  
angle (resonant  
X-ray scattering)*

## Measuring the Dzyaloshinskii–Moriya interaction in a weak ferromagnet

V. E. Dmitrienko<sup>1</sup>, E. N. Ovchinnikova<sup>2</sup>, S. P. Collins<sup>3\*</sup>, G. Nisbet<sup>3</sup>, G. Beutier<sup>4</sup>, Y. O. Kvashnin<sup>5</sup>,  
V. V. Mazurenko<sup>6</sup>, A. I. Lichtenstein<sup>7</sup> and M. I. Katsnelson<sup>6,8</sup>

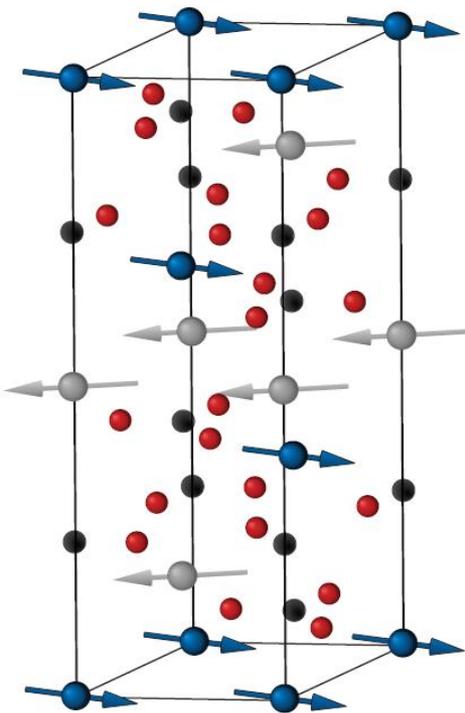


TABLE I. Calculated values of isotropic exchange interactions between magnetic moments in FeBO<sub>3</sub> (in meV). The number in parentheses denotes the coordination sphere.

Fe <sup>(1)</sup>	Fe <sup>(2)</sup>	Fe <sup>(3)</sup>	Fe <sup>(4)</sup>	Fe <sup>(5)</sup>	Fe <sup>(6)</sup>	Fe <sup>(7)</sup>
10.28	0.21	0	0.54	-0.08	0	0.02

TABLE III. Parameters of Dzyaloshinskii–Moriya interaction (in meV) calculated by using Eq. (6).

Bond $m - n$	$\mathbf{R}_{mn}$	$\mathbf{D}_{mn}$ (meV)
0-1	(1.0 ; 0.0 ; -0.904)	(-0.25; 0.0; -0.24)
0-2	(-0.5 ; $-\sqrt{3}/2$ ; -0.904)	(0.12 ; 0.22 ; -0.24)
0-3	(-0.5 ; $\sqrt{3}/2$ ; -0.904)	(0.12 ; -0.22 ; -0.24)
0-4	(-1.0 ; 0.0 ; 0.904)	(-0.25; 0.0 ; -0.24)
0-5	(0.5 ; $-\sqrt{3}/2$ ; 0.904)	(0.12 ; -0.22 ; -0.24)
0-6	(0.5 ; $\sqrt{3}/2$ ; 0.904)	(0.12 ; 0.22 ; -0.24)

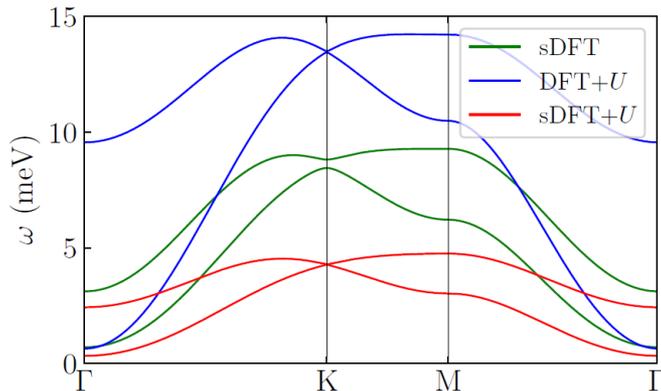
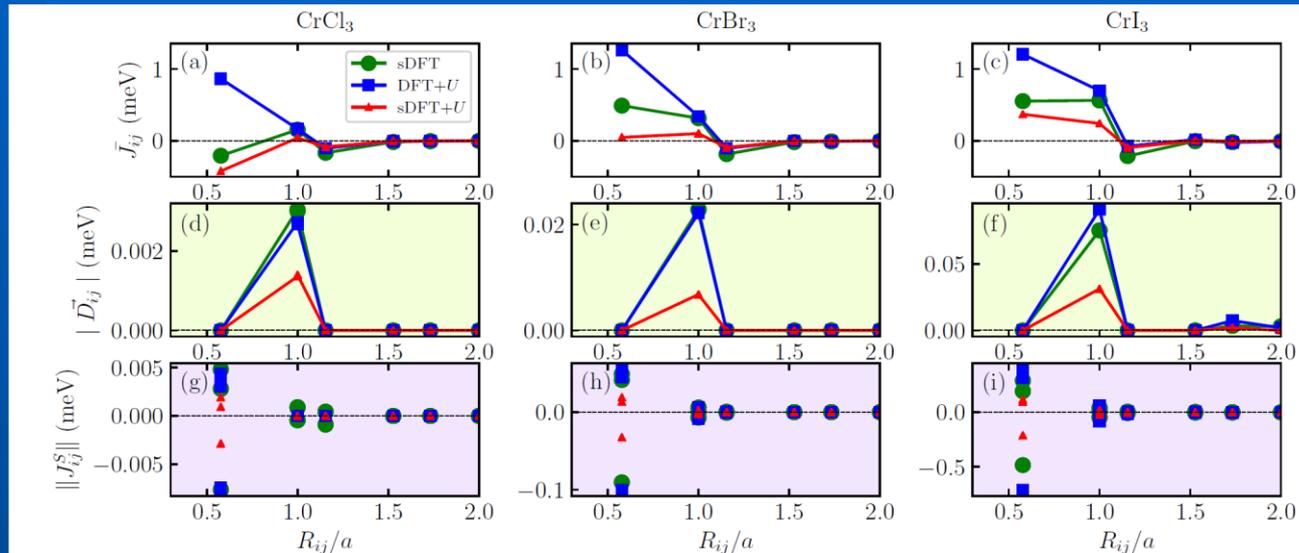
*Agrees  
well  
with  
exper.*

# 2D Magnets: $\text{CrX}_3$

Relativistic exchange interactions in  $\text{CrX}_3$  ( $X = \text{Cl, Br, I}$ ) monolayers

PHYSICAL REVIEW B **102**, 115162 (2020)

Y. O. Kvashnin,<sup>1</sup> A. Bergman,<sup>1</sup> A. I. Lichtenstein,<sup>2,3,4</sup> and M. I. Katsnelson<sup>5</sup>



The results are quite sensitive to the method used to calculate electronic structure (GW is also Done, DMFT – work in progress)

FIG. 6. Simulated adiabatic magnon spectra for monolayered  $\text{CrI}_3$  using the parameters, obtained for three computational setups, shown in Fig. 5.

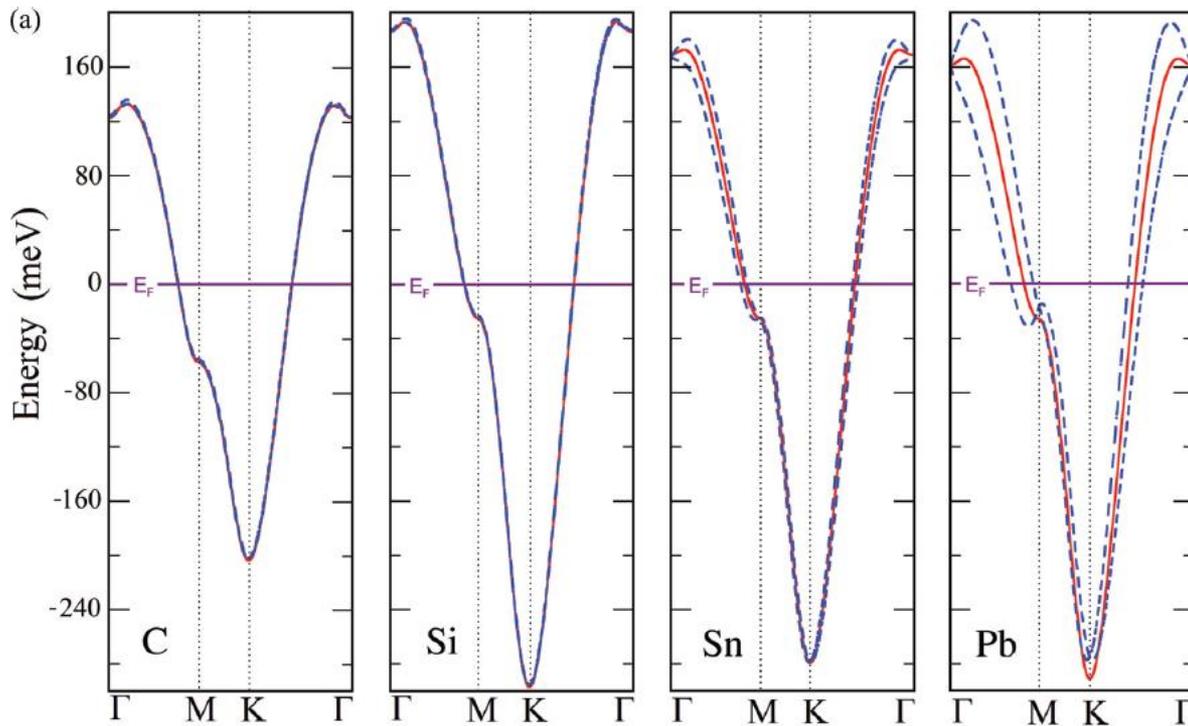
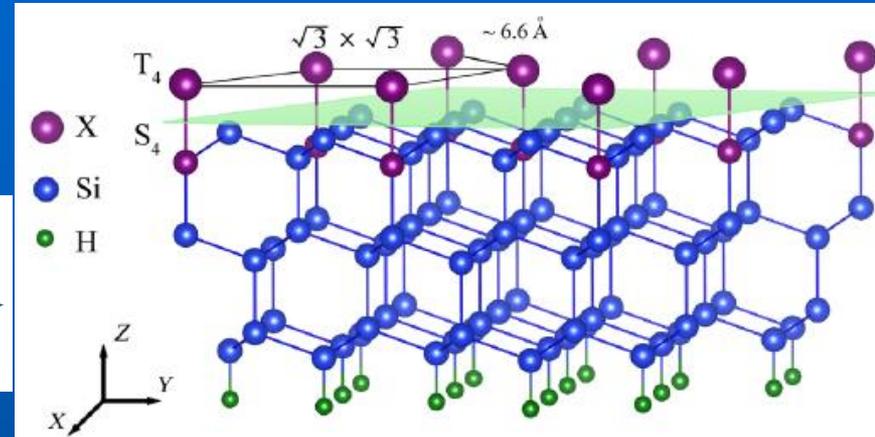
# *Si(111):X (X=C, Si, Sn, Pb)*

## *sp-electron magnets*

PHYSICAL REVIEW B **94**, 224418 (2016)

Spin-orbit coupling and magnetic interactions in Si(111):{C,Si,Sn,Pb}

D. I. Badrtdinov,<sup>1</sup> S. A. Nikolaev,<sup>1</sup> M. I. Katsnelson,<sup>1,2</sup> and V. V. Mazurenko<sup>1</sup>



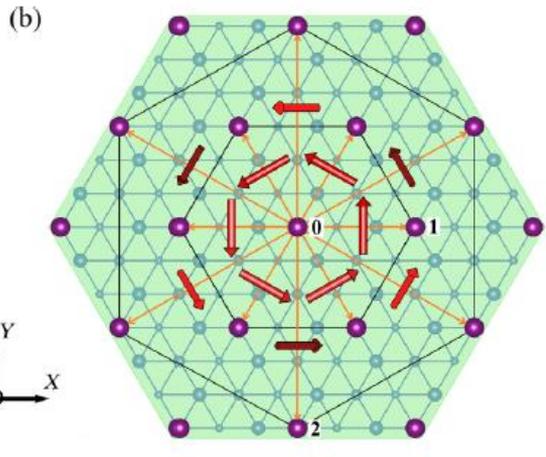
*Single narrow band near the Fermi energy*

*Red – without SO  
Blue – with SO*

# *Si(111):X (X=C,Si,Sn,Pb) II*

*Mott insulator if take into account Hubbard U*

*Ground state magnetic configurations for Si(111):Pb in magnetic field (MC simulations)*

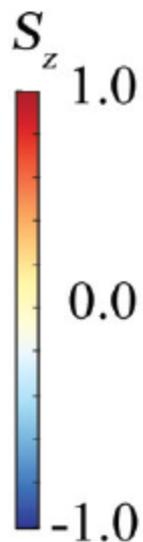
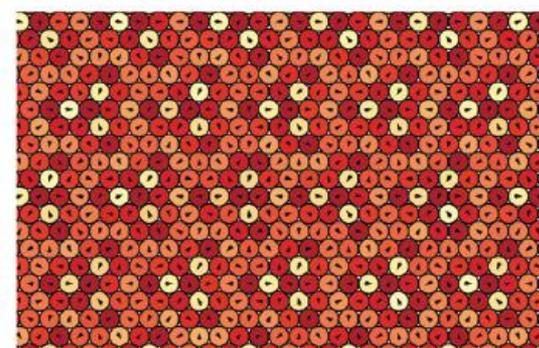
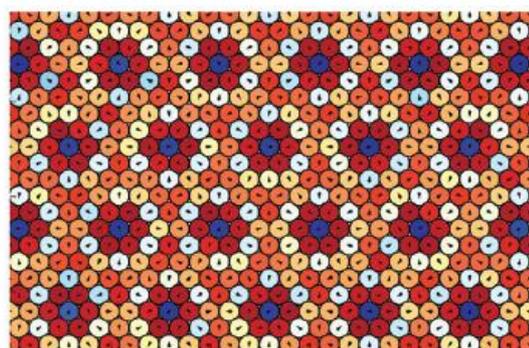
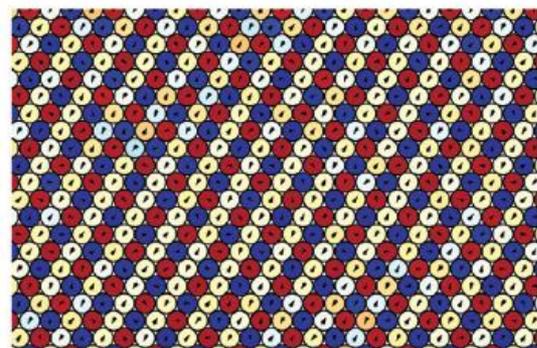


*Orientation of DMI*

$$h / J_{01} = 0.0$$

$$h / J_{01} = 3.6$$

$$h / J_{01} = 6.2$$



# *Mn<sub>12</sub>: full calculations*

PHYSICAL REVIEW B **89**, 214422 (2014)

## First-principles modeling of magnetic excitations in Mn<sub>12</sub>

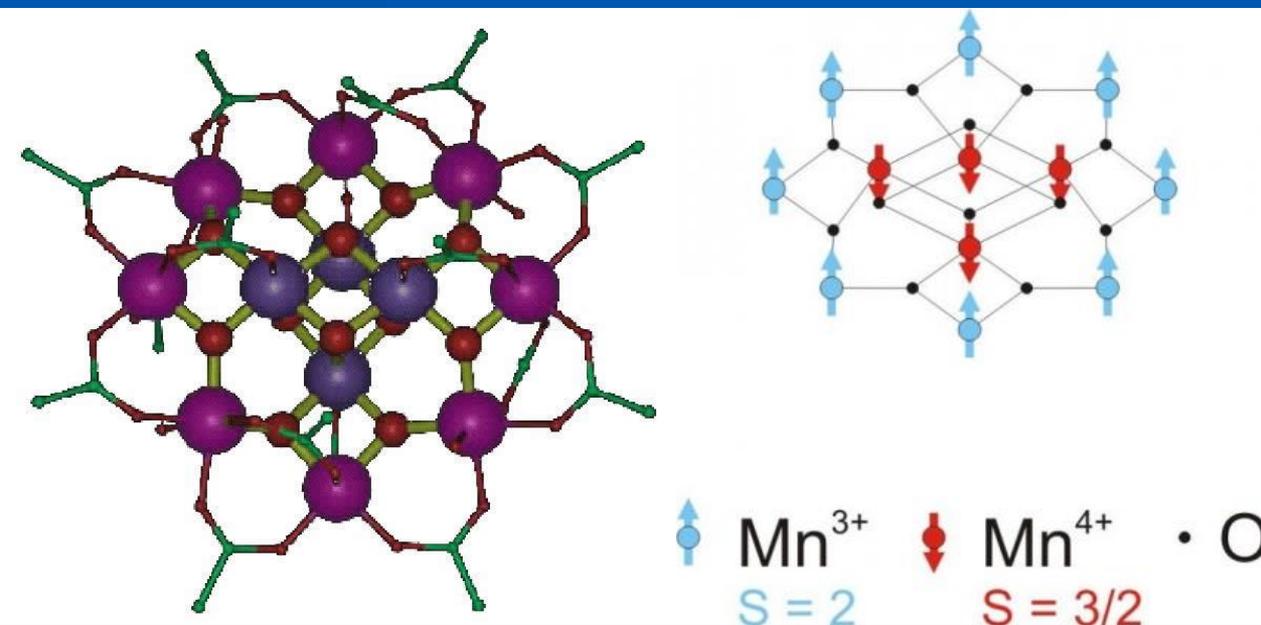
V. V. Mazurenko,<sup>1</sup> Y. O. Kvashnin,<sup>2,3</sup> Fengping Jin,<sup>4</sup> H. A. De Raedt,<sup>5</sup> A. I. Lichtenstein,<sup>6</sup> and M. I. Katsnelson<sup>1,7</sup>

### Motivation

*The prototype molecular magnet*

*Dimension of Hilbert space:*  
 $(2 \times 2 + 1)^8 (2 \times 3/2 + 1)^4 = 10^8$

*A real challenge!*



# *Mn<sub>12</sub>: full calculations II*

Inelastic neutron scattering data: cannot be explained without strong DM interactions (MIK, Dobrovistki & Harmon, PRB 1999)

Eight-spin model: S = 1/2 dimers from S=2 and S=3/2

Dimensionality of Hilbert space decreases to 10<sup>4</sup>

Cannot be justified quantitatively!

## *Full LDA+U calculations plus Lanczos ED*

$$\hat{H} = \sum_{ij} J_{ij} \hat{S}_i \hat{S}_j + \sum_{i\mu\nu} \hat{S}_i^\mu A_i^{\mu\nu} \hat{S}_i^\nu + \sum_{ij} \vec{D}_{ij} [\hat{S}_i \times \hat{S}_j]$$

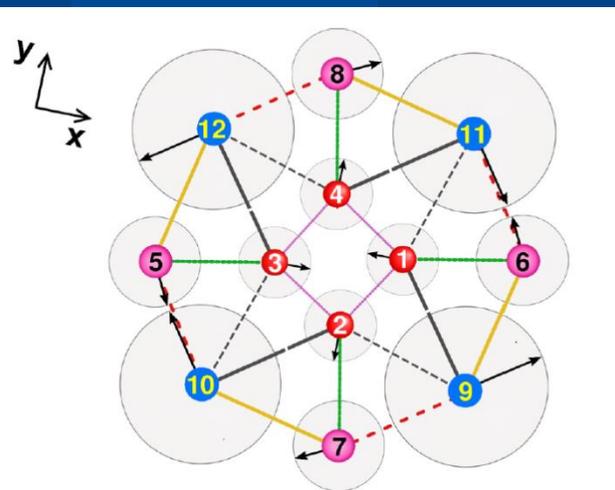


TABLE I. Intramolecular isotropic exchange interaction parameters (in meV) calculated by using the LDA + *U* approach. Positive sign corresponds to the antiferromagnetic coupling.

Bond ( <i>i, j</i> )	1-6	1-11	1-9	6-9	7-9	1-4	1-3
<i>J<sub>ij</sub></i> (this work)	4.6	1.0	1.7	-0.45	-0.37	-1.55	-0.5
<i>J<sub>ij</sub></i> (Ref. [4])	4.8	1.37	1.37	-0.5	-0.5	-1.6	-0.7
<i>J<sub>ij</sub></i> (Ref. [26])	7.4	1.72	1.72			-1.98	

# Mn<sub>12</sub>: full calculations III

TABLE II. Intramolecular anisotropic exchange interaction parameters calculated by using the LDA +  $U$  approach.  $\vec{R}_{ij}$  is a radius vector connecting  $i$ th and  $j$ th atoms (in units of  $a = 17.31$  Å).

Bond ( $i, j$ )	$\vec{R}_{ij}$	$\vec{D}_{ij}$ (meV)
2-7	(0.03; -0.16; 0.0)	(-0.008; -0.013; -0.002)
4-8	(-0.03; 0.16; 0.0)	(0.008; 0.013; -0.002)
1-6	(0.16; 0.03; 0.0)	(-0.013; 0.008; -0.002)
3-5	(-0.16; -0.03; 0.0)	(0.013; -0.008; -0.002)
1-11	(0.06; 0.18; 0.07)	(-0.020; 0.03; -0.055)
3-10	(-0.06; -0.18; 0.07)	(0.020; -0.03; -0.055)
2-9	(0.18; -0.06; -0.07)	(-0.03; -0.020; -0.055)
4-12	(-0.18; 0.06; -0.07)	(0.03; 0.020; -0.055)
1-9	(0.11; -0.16; 0.04)	(0.020; 0.014; 0.03)
3-12	(-0.11; 0.16; 0.04)	(-0.020; -0.014; 0.03)
2-10	(-0.16; -0.11; -0.04)	(-0.014; 0.020; 0.03)
4-11	(0.16; 0.11; -0.04)	(0.014; -0.020; 0.03)
6-9	(-0.04; -0.18; 0.04)	(-0.006; -0.004; -0.012)
5-12	(0.04; 0.18; 0.04)	(0.006; 0.004; -0.012)
7-10	(-0.18; 0.04; -0.04)	(0.004; -0.006; -0.012)
8-11	(0.18; -0.04; -0.04)	(-0.004; 0.006; -0.012)
7-9	(0.15; 0.1; -0.07)	(0.020; -0.004; 0.012)
8-12	(-0.15; -0.1; -0.07)	(-0.020; 0.004; 0.012)
6-11	(-0.1; 0.15; 0.07)	(-0.004; -0.020; 0.012)
5-10	(0.1; -0.15; 0.07)	(0.004; 0.020; 0.012)
4-1	(-0.10; 0.06; 0.11)	(-0.014; 0.005; -0.013)
1-2	(-0.06; -0.10; 0.11)	(-0.005; -0.014; -0.013)
3-4	(0.07; 0.1; 0.11)	(0.005; 0.014; -0.013)
2-3	(-0.10; 0.07; -0.11)	(0.014; -0.005; -0.013)
1-3	(-0.16; -0.03; 0.0)	(-0.006; 0.030; 0)
2-4	(-0.04; 0.17; 0.0)	(-0.030; -0.006; 0)

Plus anisotropy tensors...

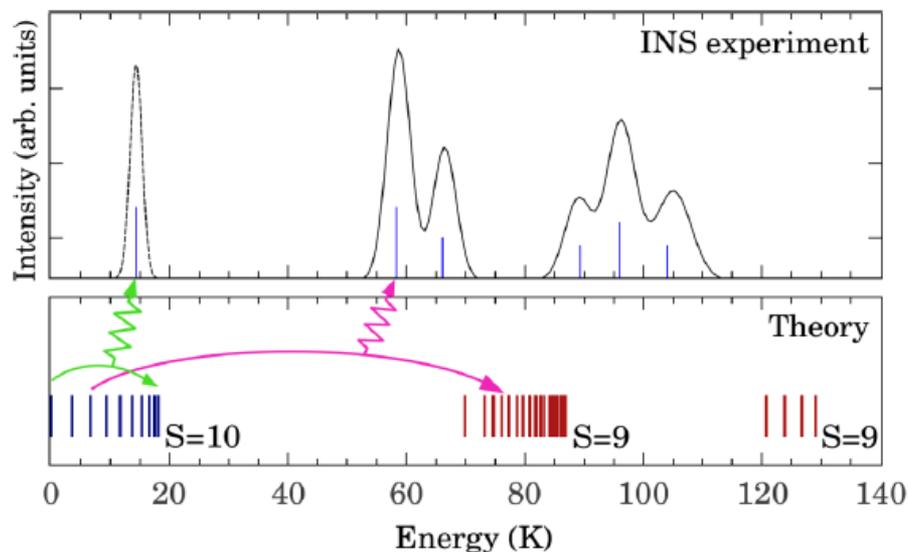


FIG. 2. (Color online) Schematic comparison of the theoretical spectrum obtained by diagonalizing Eq. (1) and INS spectrum taken from Ref. [12] (Figs. 6 and 8 therein). The arrows denote the intra- and interband transitions that correspond to the excitations observed in the INS experiment.

No fitting parameters at all – not so bad!

# Manipulation of magnetic interactions by high-frequency laser field

PRL **115**, 075301 (2015)      PHYSICAL REVIEW LETTERS      week ending  
14 AUGUST 2015

Effective Hamiltonians for Rapidly Driven Many-Body Lattice Systems:  
Induced Exchange Interactions and Density-Dependent Hoppings

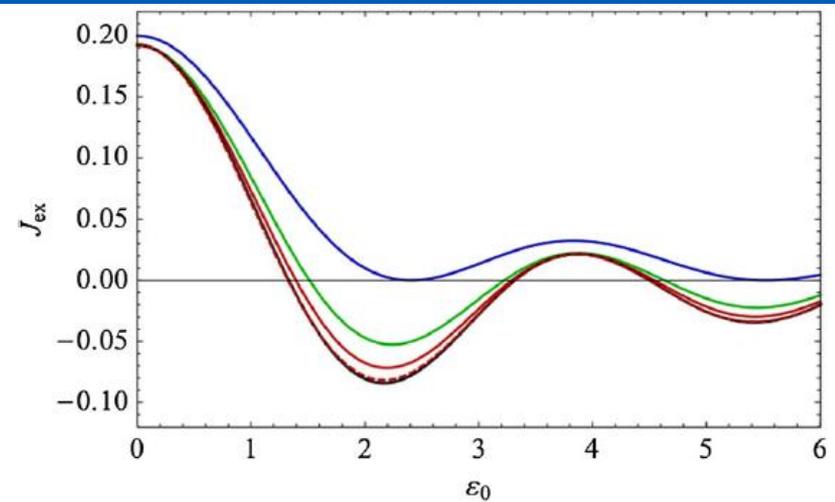
A. P. Itin<sup>1,2</sup> and M. I. Katsnelson<sup>1,3</sup>

One can change a sign  
of exchange integral

General idea: average over fast  
variables  
(cf. “Kapitza pendulum”)



1D Hubbard model in strong  
high-frequency laser field



Color solid curves, from top to bottom:  
- Bare exchange interaction  
- Second order expansion  
- Fourth order expansion  
- Exact analytical solution

Dashed line shows numerical results for  
nonequilibrium exchange (J. Mentink et al)

# Using laser field to manipulate magnetic structure

## Heisenberg-exchange-free nanoskyrmion mosaic

PRL 118, 157201 (2017)

PHYSICAL REVIEW LETTERS

week ending  
14 APRIL 2017

Dynamical and Reversible Control of Topological Spin Textures

E. A. Stepanov,<sup>1</sup> C. Dutreix,<sup>1,2</sup> and M. I. Katsnelson<sup>1</sup>

E A Stepanov<sup>1,2,6</sup>, S A Nikolaev<sup>2</sup>, C Dutreix<sup>3,4,5</sup>, M I Katsnelson<sup>1,2</sup>  
and V V Mazurenko<sup>2</sup>

J. Phys.: Condens. Matter **31** (2019) 17LT01

*One can reach a regime when  $D \gg J$  with unusual nanoskyrmion mosaic*

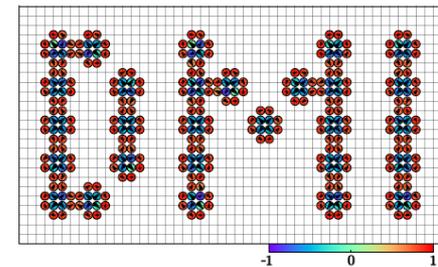
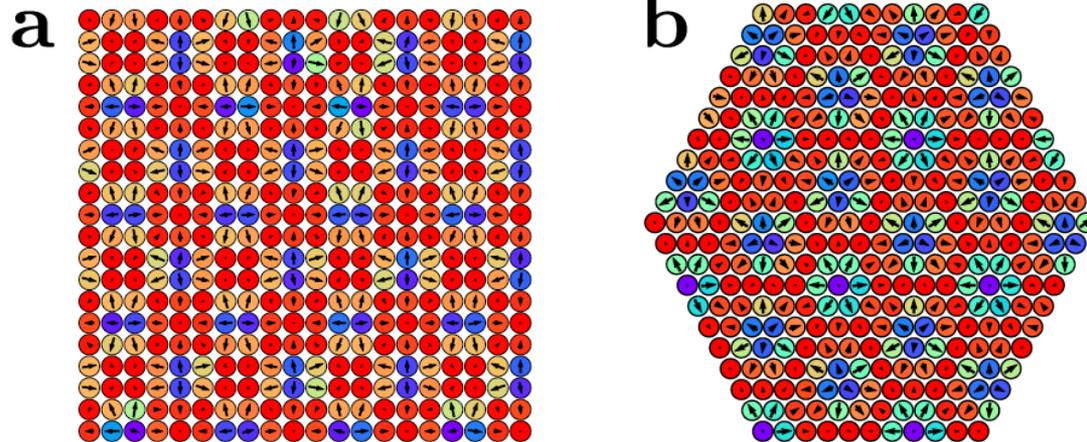


FIG. 1. Nanoskyrmion-designed DMI abbreviation obtained from Monte Carlo simulations of the Heisenberg-exchange-free model on the non-regular square lattice with  $B_z = 1.2$ . Arrows and colors depict the in-plane and out-of-plane spin projections, respectively.

FIG. 3. Fragments of the spin textures and spin structure factors obtained with the Heisenberg-exchange-free model on the square  $20 \times 20$  (a) and triangular  $21 \times 21$  (b) lattices. The values of magnetic fields were chosen  $B_z = 3.0$  and  $B_z = 3.2$  for the triangular and square lattices, respectively. The calculated skyrmion numbers for the triangular (blue triangles) and square (red squares) lattices (c). An applied magnetic field is in units of DMI. The temperature is equal to  $T = 0.01 |D|$ .

# Putting into a context of standard many-body theory

General way of mapping of interacting fermions onto bosonic fields (collective variables): dual boson approach

Dual boson approach to collective excitations in correlated fermionic systems

A.N. Rubtsov<sup>a</sup>, M.I. Katsnelson<sup>b</sup>, A.I. Lichtenstein<sup>c,\*</sup>

Annals of Physics 327 (2012) 1320–1335

Derivation and generalization of the exchange formula via dual boson approach: “practically exact” for Hubbard model

PHYSICAL REVIEW LETTERS **121**, 037204 (2018)

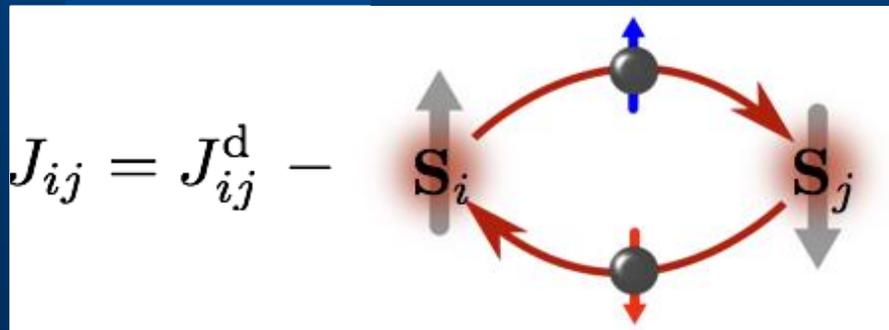
## Effective Heisenberg Model and Exchange Interaction for Strongly Correlated Systems

E. A. Stepanov,<sup>1,2</sup> S. Brener,<sup>3</sup> F. Krien,<sup>3</sup> M. Harland,<sup>3</sup> A. I. Lichtenstein,<sup>3,2</sup> and M. I. Katsnelson<sup>1,2</sup>

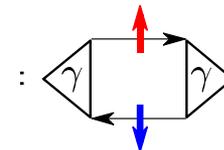
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$$J_{\mathbf{q}} = J_{\mathbf{q}}^d - \sum_{\mathbf{k}, \nu} \gamma_{\nu, \omega=0}^- \tilde{G}_{\mathbf{k}+\mathbf{q}, \nu \uparrow} \tilde{G}_{\mathbf{k} \nu \downarrow} \gamma_{\nu, \omega=0}^+$$



# Applications to nonmagnetic systems

## Charge-ordered systems

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### Effective Ising model for correlated systems with charge ordering

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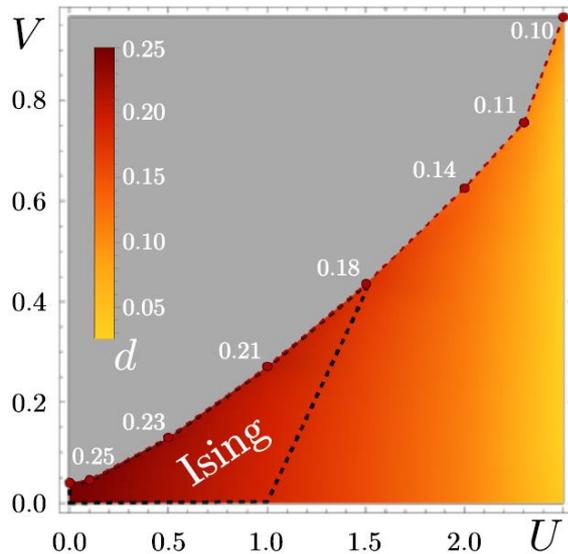


FIG. 1. Double occupancy of the extended Hubbard model shown on the  $U$ - $V$  phase diagram. Calculations are performed in the normal phase where the value of the double occupancy  $d$  is depicted by color. The gray part corresponds to the charge ordered phase.

## Superconductors (e.g. cuprates)

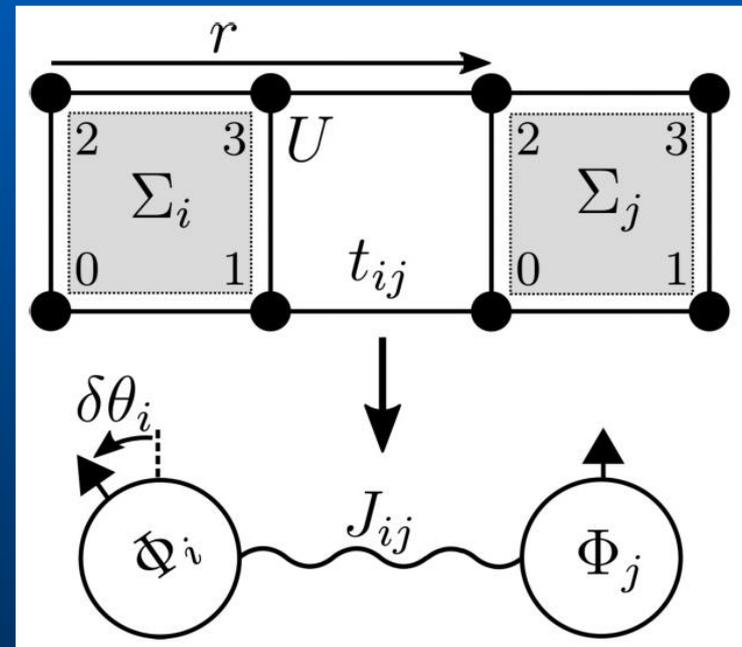
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### Josephson lattice model for phase fluctuations of local pairs in copper oxide superconductors

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$$J_{ij} = T \text{Tr}_{\omega\alpha} \left( - G_{ij}^{p\uparrow} S_j G_{ji}^{h\downarrow} S_i + F_{ij} S_j F_{ji} S_i \right)$$

# *Collaboration*

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*V. Mazurenko and D. Badrtdinov (Ekaterinburg)*

*Ya. Kvashnin and O. Eriksson (Uppsala)*

*and many other people*

*Thank you for your attention*